## Si-Rib AWG : 40ch-100GHz



## **Definition of AWG Parameters**





(b) After etching

## Si-Rib AWG : 40ch-100GHz



(a) Configuration of rib-type waveguide

## **Electric Field Distribution**

n1(EIM) = 3.2724 ~ 0.5 % error n0(EIM) = 2.9377 → nc = 3.2168 (EIM)

$$n_{c} = 3.2003$$
$$N_{c} = n_{c} - \lambda \frac{dn_{c}}{d\lambda} = 3.7796$$



n1(EIM) = 3.1547 ~ ~ 4.4 % error n0(EIM) = 2.2951 → nc = 3.2218 (EIM)

$$n_{c} = 3.0864$$
$$N_{c} = n_{c} - \lambda \frac{dn_{c}}{d\lambda} = 3.9794$$



(a) Electric field for TE mode

(b) Electric field for TM mode

### **Electric Field Distribution**

 $w_{x1} = 0.7 \ \mu m \ \%, \ w_{x2} = 0.9 \ \mu m$ 



Position x (µm)

## **Electric Field Distribution**

 $t = 0.25 \ \mu m, \ VV_{y} = 0.36 \ \mu m$ 



## Eigen Value for Ex<sup>11</sup> Mode



## Eigen Value for Ey<sup>11</sup> Mode



## FEM Analysis of Parallel Waveguides

$$\kappa = \frac{(\beta_{even} - \beta_{odd})}{2} = \frac{(n_{even} - n_{odd})\pi}{\lambda}$$
$$P_{b}(z) = \sin^{2}(\kappa z)$$

 $L_{100\%} = L_{0dB} = \frac{\pi}{2\kappa} = \frac{\lambda}{2(n_{even} - n_{odd})}$ 

$$L_{50\%} = L_{-3dB} = \frac{\pi}{4\kappa} = \frac{\lambda}{4(n_{even} - n_{odd})}$$

$$ER = -30 \, dB$$

$$L_{-30dB} = \frac{1}{\kappa} \sin^{-1} \left\{ exp \left[ \frac{ER \cdot \ell n(10)}{20} \right] \right\} = \frac{\lambda}{(n_{even} - n_{odd})\pi} \sin^{-1} \left\{ exp \left[ \frac{ER \cdot \ell n(10)}{20} \right] \right\}$$

## FEM Analysis of Parallel Waveguides

Core Center Separation =  $3.0 \mu m$ 



Position x (Mm)

## FEM Analysis of Parallel Waveguides

Core Center Separation =  $3.0 \mu m$ 



Position x (□m)

## The Minimum Core Center Separation



$$\kappa = \frac{\sqrt{2\Delta}}{a} \frac{u^2 w^2}{(1+w) v^3} \exp\left[-w\left(\frac{D}{a}-2\right)\right]$$

Si-Rib WG by EIM  $a = 0.5 \ \mu m$   $\Delta = 0.097$   $\lambda_0 = 1.55 \ \mu m$  v = 2.921980 b = 0.826505  $D = 3.0 \ \mu m$  $\kappa = 2.45 \times 10^{-6} \ \mu m^{-1}$ 

<u>Si-Rib WG by FEM</u> D = 3.0  $\mu$ m Sprtn  $\kappa = 1.97 \times 10^{-6} \mu m^{-1}$ CT = - 30 dB @ L = 16.1 mm

CT = -30 dB @ L = 12.9 mm

## Phase Fronts in Array Waveguides



(a) Phase relation for  $\lambda = \lambda_0$ 

(b) Phase relation for  $\lambda < \lambda_0$ 

## **Interference Condition of AWG**



## Multi-beam Interference in the 2nd Slab Region of AWG



 $x_{I}$ 

#### (1) Interference condition

$$\beta_{s}(\lambda) \left( \frac{d_{1}}{f_{1}} x_{1} - \frac{d}{f} x \right) + \beta_{c}(\lambda) \Delta L = 2m\pi \quad (1)$$

$$\frac{n_c(\lambda)\Delta L}{\lambda} = m \quad (2) \quad \cdots \quad \text{for input/output positions satisfying} \quad \frac{d_1}{f_1} x_1 = \frac{d}{f} x_1$$
$$n_c(\lambda) = \beta_c(\lambda)/k \quad : \quad \text{Effective-index of arrayWG} \quad \left(k = 2\pi/\lambda = 2\pi v/c\right)$$
$$n_s(\lambda) = \beta_s(\lambda)/k \quad : \quad \text{Effective-index of slab} \quad \left(n_s = n_{eff}\right)$$

#### (2) Dispersion of focal point x for fixed input position $x_1$

$$\frac{\delta}{\delta k} \left[ \text{left} - \text{hand side term of Eq.}(1) \right] = 0$$

$$\frac{\delta\beta_s}{\delta k} \left( \frac{d_1}{f_1} x_1 - \frac{d}{f} x \right) - \beta_s(\lambda) \frac{d}{f} \cdot \frac{\delta x}{\delta k} + \frac{\delta\beta_c}{\delta k} \Delta L = 0$$

## Theory of AWG - 2

$$\frac{\delta x}{\delta k} = -\frac{\lambda}{k} \frac{\delta x}{\delta \lambda} = \frac{v}{k} \frac{\delta x}{\delta v} = \frac{N_c f \Delta L}{\beta_s d}$$
$$N_c = \frac{\delta \beta_c}{\delta k} = n_c - \lambda \frac{dn_c}{d\lambda} : \text{ Group index}$$
$$\frac{\delta x}{\delta v} = \frac{N_c f \Delta L}{n_s v d} \quad (3)$$

$$\frac{\delta x}{\delta \lambda} = -\frac{N_c f \Delta L}{n_s \lambda d} \quad (4)$$

#### (3) Free spectral range (FSR)

From Eq. (1) for fixed input/output positions  $x_1$  and x



#### (4) Free spatial range

Spatial separation of the *m*-th and (m+1)-th focal positions for the same wavelength  $\lambda$  (fixed  $x_1$ )

$$\beta_{s}(\lambda) \left( \frac{d_{1}}{f_{1}} x_{1} - \frac{d}{f} x \right) + \beta_{c}(\lambda) \Delta L - 2m\pi = 0 \quad (1)$$

$$\beta_{s}\partial\lambda \int \frac{d}{f}x_{m} + 2m\pi = \beta_{s}\partial\lambda \int \frac{d}{f}x_{m+1} + 2\partial m + 1\int \pi$$

$$\downarrow$$

$$X_{FSR} = x_{m} - x_{m+1} = \frac{\lambda f}{n_{s}d} \qquad (6)$$

#### (5) Number of available channels

$$N_{ch} = \frac{X_{FSR}}{D} = \frac{\lambda f}{n_s d D} \qquad (7)$$

## Light Focusing Properties for Center and Off-center Beams





(a)  $\lambda = \lambda_{center}$ 



## **Design Procedure of AWG**









## Far-field Pattern from the Input Waveguide



ρ (**rad**)

## Theoretical Crosstalk vs NTA $\varepsilon$ : (BPM Calculation)







## Crosstalk of AWG Caused by Fabrication Error



81

Defocusing (Crosstalk degradation)

Transmittance (dB)

with errors

no errors

Light focusing in 2nd slab region

## Waveguide Layout for AWG Design



## **Equations to Determine AWG Configuration**

#### (2N-1) equations for (2N+1) unknown values

 $\frac{\alpha, \quad L_{slab}}{\frac{L_{slab}}{2} - \left(f + Y_{fnl} + S_N\right)\cos\theta_l}$ 

$$R_N = \frac{\frac{1}{2} - (J + I_{fnl} + S_N) \cos \theta_N}{\sin \theta_N} \qquad (8)$$

$$R_{j} = \frac{\frac{L_{slab}}{2} - \left[f + Y_{fnl} + S_{N} + R_{N} \cdot \theta_{N} + (N - j)\frac{\Delta L}{2}\right]\cos\theta_{j}}{\sin\theta_{j} - \theta_{j} \cdot \cos\theta_{j}} \quad (j = 1 \sim N - 1) \quad (9)$$

$$S_{j} = S_{N} + \left(R_{N} \cdot \theta_{N} - R_{j} \cdot \theta_{j}\right) + (N - j)\frac{\Delta L}{2} \quad (j = 1 \sim N - 1) \quad (10)$$

#### **Choose solution under the following conditions**

 $Min(R_j) \ge R_{\min} \quad (\text{given by bending loss})$  $S_j \ge 0 \qquad (\text{taper length})$ Waveguide separation  $\ge s_{\min} \quad (\text{given by mode coupling})$ 

## Layout of Array Waveguides



## Layout of Array Waveguides



## Layout of AWG : Vernier Input WGs



## Layout of AWG : Normal Output WGs



## Principle of Vernier Center Wavelength Trimming



### 30-days Trial version

Absoft Pro Fortran : http://www.absoft.com/evalform.html

AutoCAD LT : http://usa.autodesk.com/adsk/servlet/oc/offer/form?siteID=123112&id=9247811

MathType : http://www.dessci.com/en/products/mathtype/trial.asp

## How to use Pro Fortran

(1) Start Absoft Compiler  $\rightarrow$  Absoft Developer Tools Interface  $\rightarrow$  "No"  $\rightarrow$  New Project  $\rightarrow$  "Cancel"

(2) Configure → Set Default Options → "New" tab
F77 →
General → quiet
Compatibility → Fold to Upper Case
Miscellaneous → Promote REAL and COMPLEX
Format → Wide Format
Plug-ins → IMSL Library

" $OK" \rightarrow Save as "double-r8" \rightarrow "double-r8.gui" stored in [Absoft Work Folder] \rightarrow "Close"$ 

(Note I) Setting should be -q –N113 –W –N109

(Note II) An Instruction of IMSL Library is in [Documentation] ... MATH.pdf etc

## How to use Pro Fortran

(3) Store "double-r8.gui" in → [Absoft70] → [BIN] → [Resources] → [IDE Resources]
 → [Settings]

(4) Prepare File with the Name "f.f"

Double Click "double-r8.gui"  $\rightarrow$  "No"  $\rightarrow$  Configure  $\rightarrow$  Set Options

Target Directory  $\rightarrow$  Click "..."  $\rightarrow$  C:  $\rightarrow$  [Absoft70]  $\rightarrow$  Click "Absoft Work Folder"  $\rightarrow$  "OK"

"Ctrl + F" → Double Click "f.f" → "Close" → Click "+" → "Ctrl + B" → "f.exe"

(5) "Ctrl + E"  $\rightarrow$  Program Executed  $\rightarrow$  Remove "f.f"  $\rightarrow$  "Close"  $\rightarrow$  Save changes to "double-r8.gui"?

 $\rightarrow$  "Yes"

## Mask Data Generation Procedure



(b) Generation of "Quadrangle"



(a) Overlap of Line's

## **DXF File Generation Procedure**



(a) Arc in clockwise direction

(b) Arc in counterclockwise direction

## Mask Company → Mask Minimum Division Angle

#### Minimum Division Angle

| WG Type      | R (μm)      | ∆θ <b>(Deg)</b> | $\Delta \alpha$ (rad) | R*∆α (μm)    |
|--------------|-------------|-----------------|-----------------------|--------------|
| H∆-PLC       | 2000 ~ 5000 | 0.1             | 0.0017                | 3.4 ~ 8.5    |
| InP & Si-Rib | 50 ~ 500    | 0.1             | 0.0017                | 0.085 ~ 0.85 |
| Si-Wire      | 5           | 0.1             | 0.0017                | 0.0085       |

## Rotation of the Cell



## Chip Layout of AWG : 40ch-100GHz



 $X_{dev} = 5.4 \text{ mm}$  $Y_{dev} = 5.4 \text{ mm}$ 

## Demux Properties of Si-Rib AWG : 20ch-200GHz

Crosstalk ~ -20 dB



## Demux Properties of Si-Rib AWG : 40ch-100GHz N = 290, $\Delta L$ = 12.1 $\mu$ m, Lav = 1.43 mm Crosstalk ~ -18 dB - 10 - 20 Transmittance (dB) -18 dB - 30 - 40

Wavelength  $\lambda$  (nm)

1550

1555

1560

1545

1565

1535

1540

# Test Waveguides

## **Test Radius of Curvature by S-Bends**



## **Test Radius of Curvature by S-Bends**

$$\begin{array}{l} S_{dc} = 21.2 \ \mu m \\ G_{ap} = 0.2 \ \mu m \ (Core \ edge \ separation) \\ S_{b} = 10.0 \ \mu m \\ Y_{3dB} = 10 \ \mu m \\ \textbf{R}_{b} = \textbf{20} \sim \textbf{220} \ \mu m \ (10 \ \mu m \ Step) \\ O_{fst} = 3.0/R_{b} \ \mu m \end{array}$$

$$S_{b} = \frac{S_{dc}}{2} \cdot \left(\frac{G_{ap}}{2} + a\right)$$
$$\theta_{b} = \cos^{-1}\left(1 - \frac{S_{b}}{2 \cdot R_{b}}\right)$$



## Test Radius of Curvature by S-Bends



## **Test 3dB Directional Coupler**



## **Test 3dB Directional Coupler**



## Test MMI 3dB Coupler



## Test MMI 3dB Coupler

