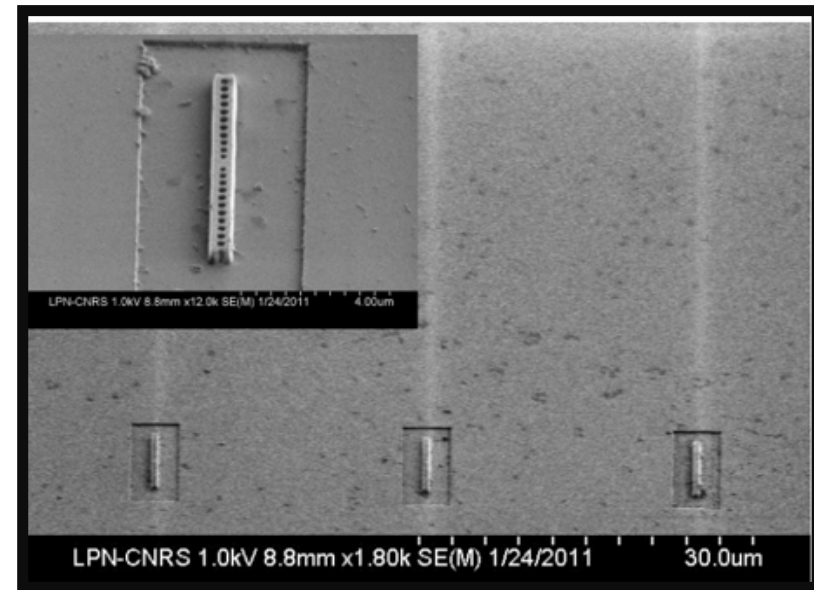
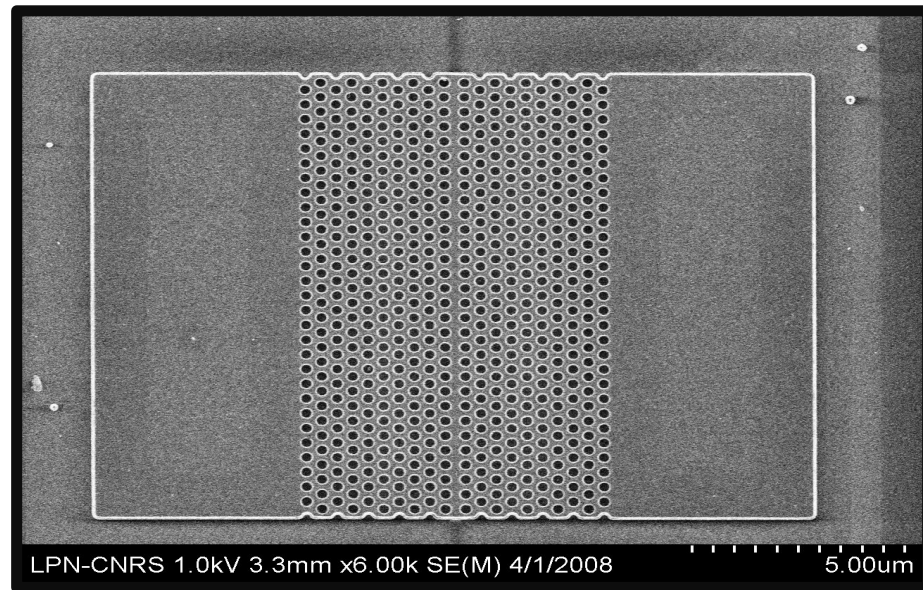


Hybrid III-V/SOI Nanolasers



Fabrice Raineri^{1,2}

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² Université Paris Diderot – Paris VII

- **Motivations of hybrid photonics and pursued approaches**
- **III-V/SOI nanophotonics platform**
- **Nanolasers efficiently coupled to SOI circuitry**
- **Hybrid memories and switches**
- **Conclusion and Future Work**

- **Motivations of hybrid photonics and state of the art**

- **III-V/SOI nanophotonics platform**

- **Nanolasers efficiently coupled to SOI circuitry**

- **Hybrid memories and switches**

- **Conclusion and Future Work**

Convergence of μ -electronics & photonics

Photonics can help to overcome the limits of electronics, in speed and power consumption, for intra or inter-chip communication

D.A.B. Miller, Proc. IEEE 97, 1166-1185 (2009)

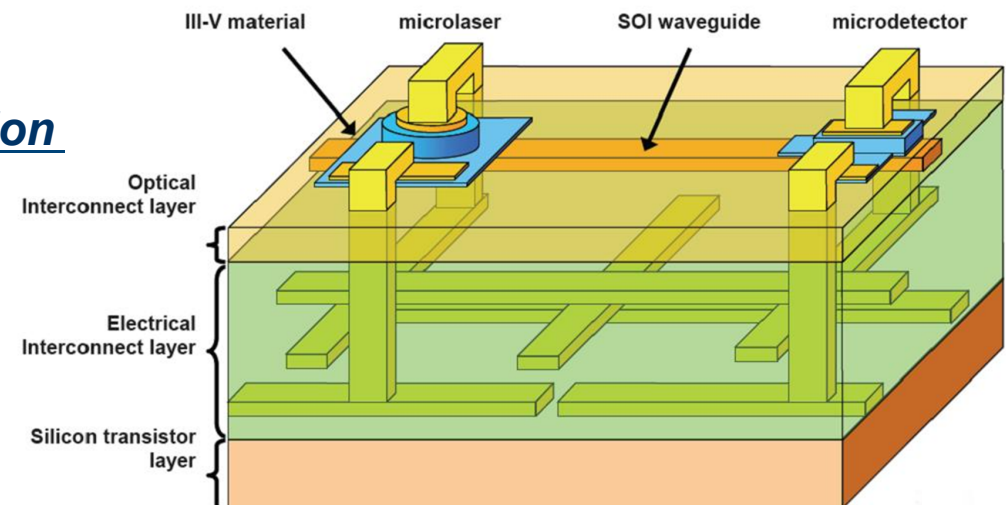
CHALLENGES

- Deliver the necessary passive and active functionalities: low-loss waveguides, filters, sources, switches, detectors...
- Perform low power consumption and high speed: fJ activation energies, >10Gbits/s
- Small footprint for high density (10^4 - 10^5 of devices per mm^2): $<100\mu\text{m}^2$
- Integration with Si electronics and CMOS compatibility for cheap manufacturing

Possible scheme for photonic integration

Ghent Univ.

→ How to go about this?



III-V semiconductors/Silicon hybrid structures

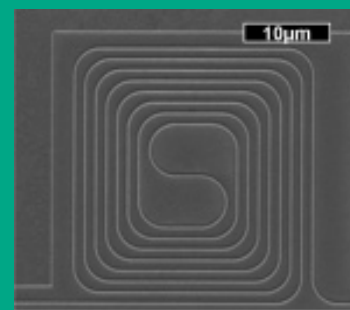
Combine the best of both materials for photonics

SILICON

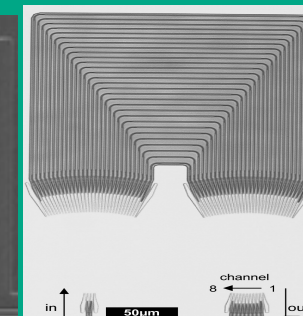
- Compatibility with μ -electronics
- Low cost production in CMOS fabs
- Ultracompact low loss optical circuitry using SOI

Ideal for passive devices

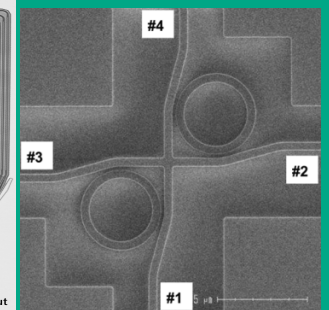
Curved wires



WDM



Add-drop filters



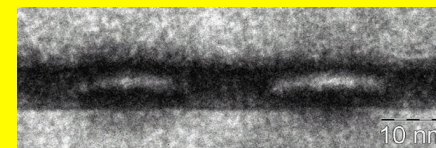
IMEC / INTEC

III-V SEMICONDUCTORS

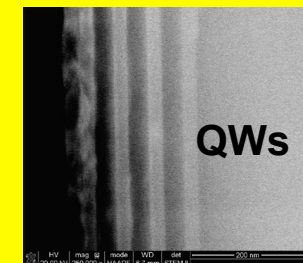
- Tailored emission from UV to far IR
- High quantum efficiency
- Material engineering for high nonlinearity

Ideal for active devices

QDs



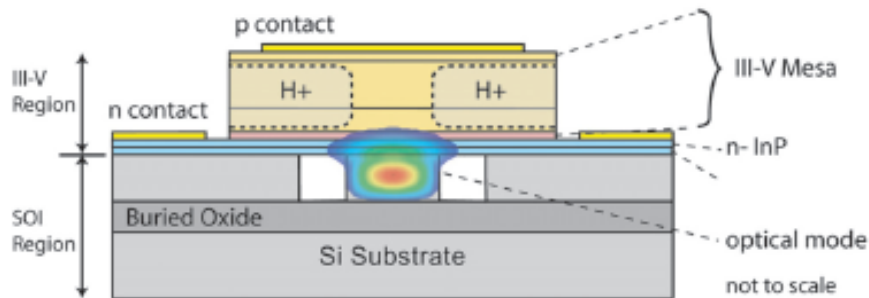
QWs



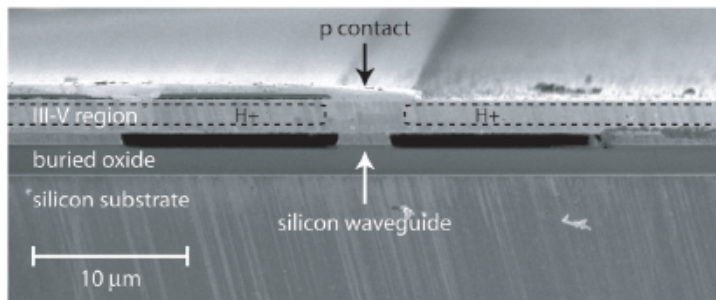
Pursued approaches for heterogeneous integration

III-V and Si in contact → hybrid mode

UCSB/Intel



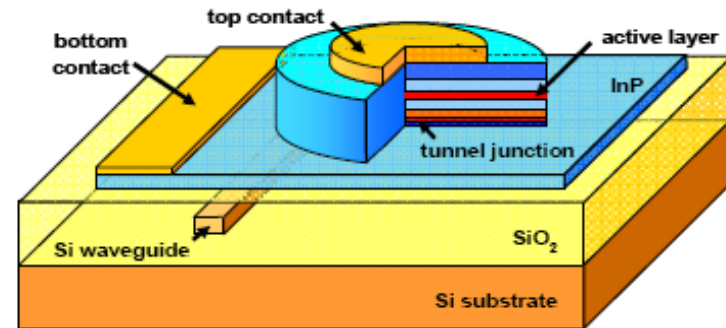
A. W. Fang et al, *Materials today* 10 (2007)



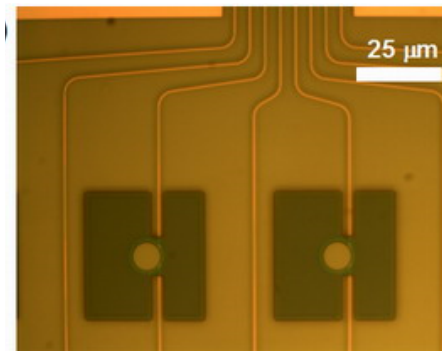
Edge-emitters, DFBs, SOAs,
Racetrack lasers, detectors,
modulators....

III-V and Si separated by a low index layer → evanescent coupling

IMEC/LETI/INL



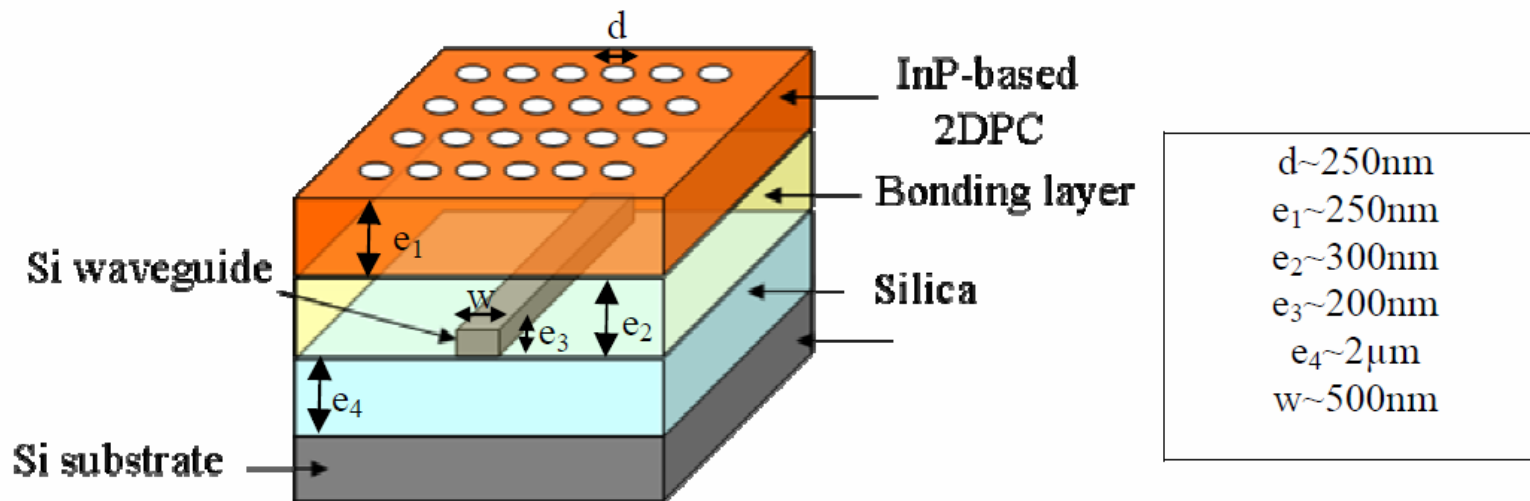
J.V. Campenhout et al, *Opt. Express* 15, 6744 (2007)



μdisks lasers,
wavelength converters,
memories, detectors...



LET'S GO FOR "NANO"!



- Smaller footprint
- Better power efficiency
- Higher speed

- **Motivations of hybrid photonics and state of the art**

- **III-V/SOI nanophotonics platform**

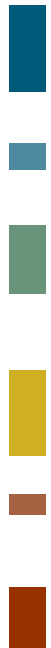
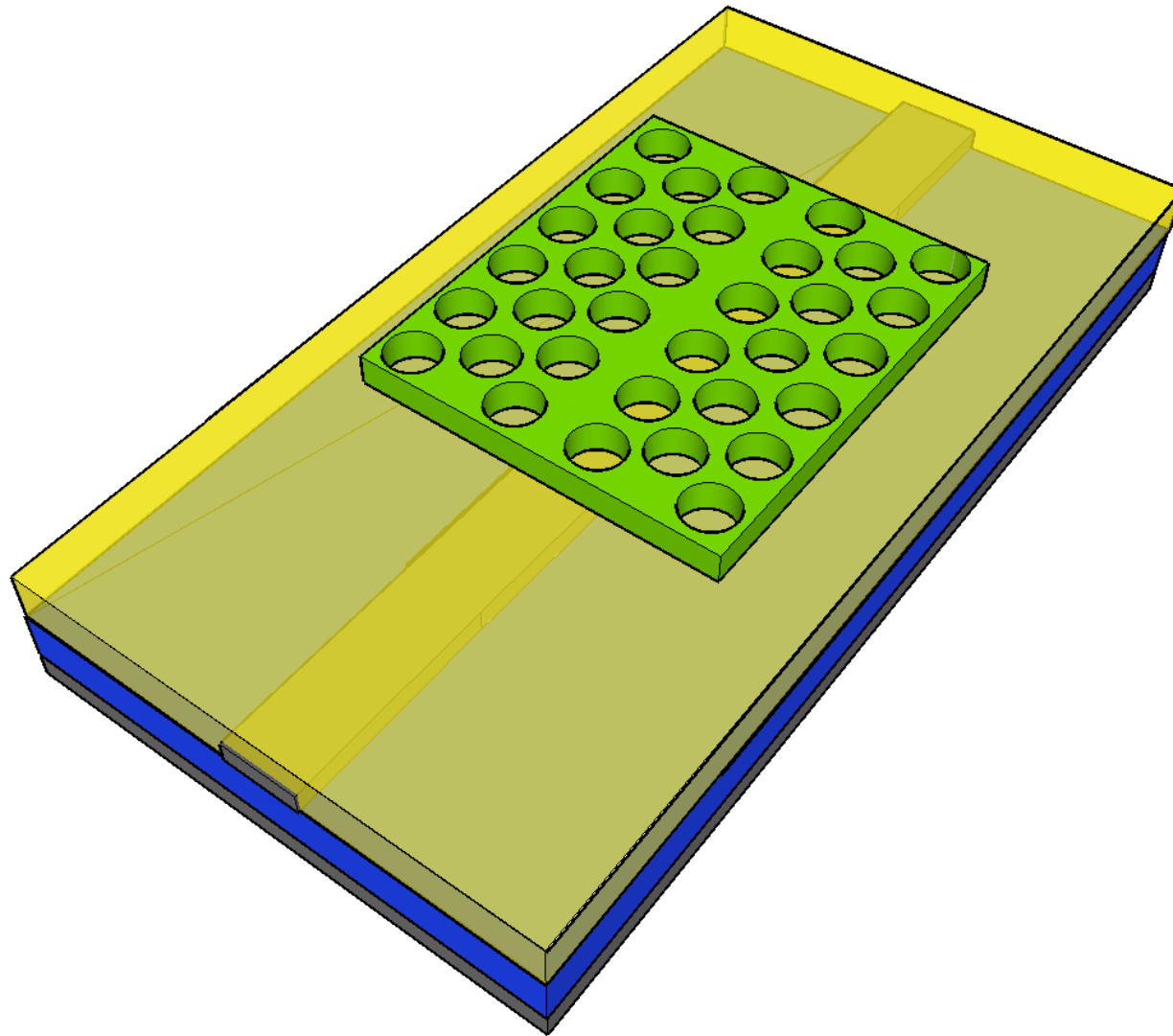
- General view

- PhC lasers properties

- **Nanolasers efficiently coupled to SOI circuitry**

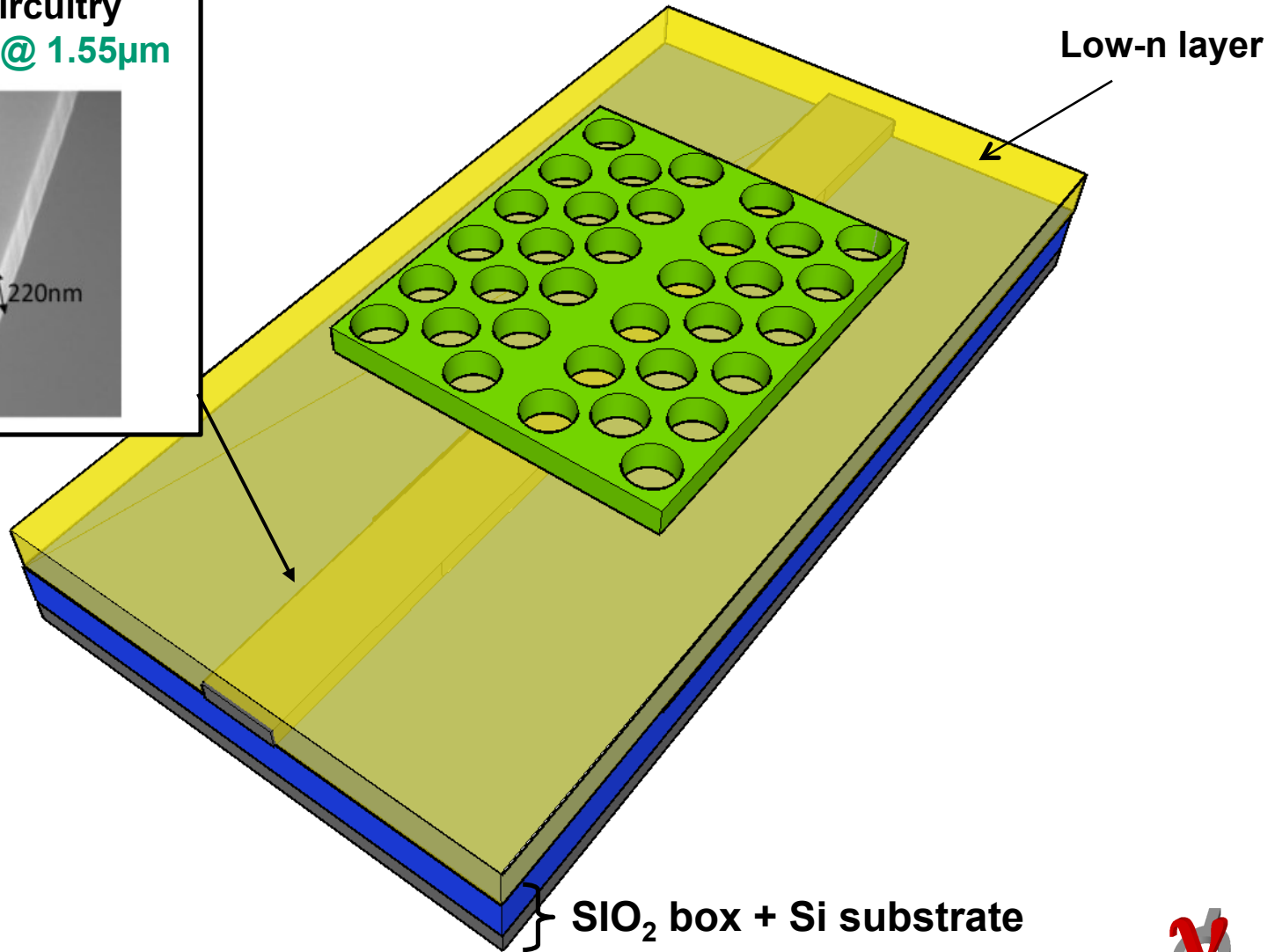
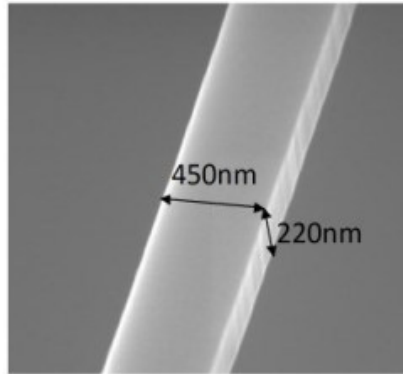
- **Hybrid memories and switches**

General view of the hybrid structure



General view of the hybrid structure: passive level

Passive level : Low loss
silicon wire circuitry
TE single mode @ 1.55 μ m



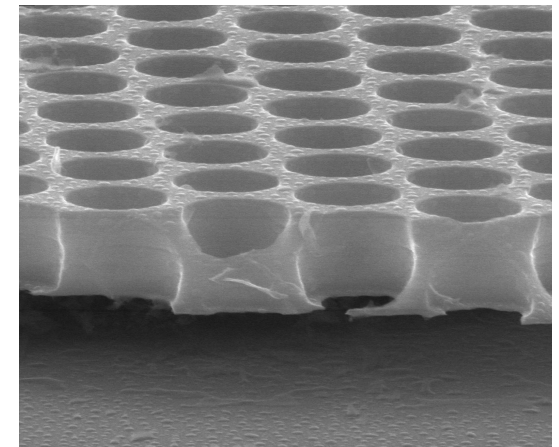
General view of the hybrid structure: active level

Active level : III-V PhC membrane
(InP-based for telecom λ)

-Periodic structures at λ -scale

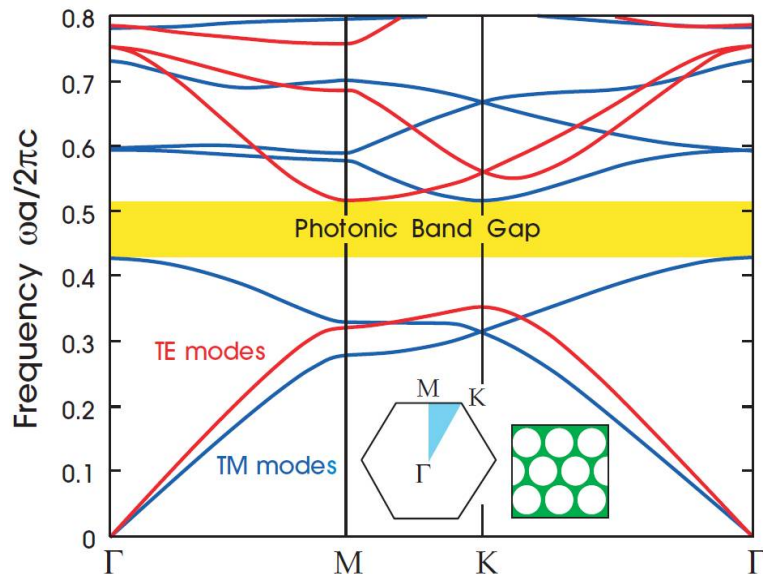
S. John, Phys. Rev. Lett. 58, 2486–9 (1987)

E. Yablonovitch, Phys. Rev. Lett., 58, 2059–62 (1987)



→ In plane propagation ruled by periodic patterning
→ Vertical confinement thanks to TIR

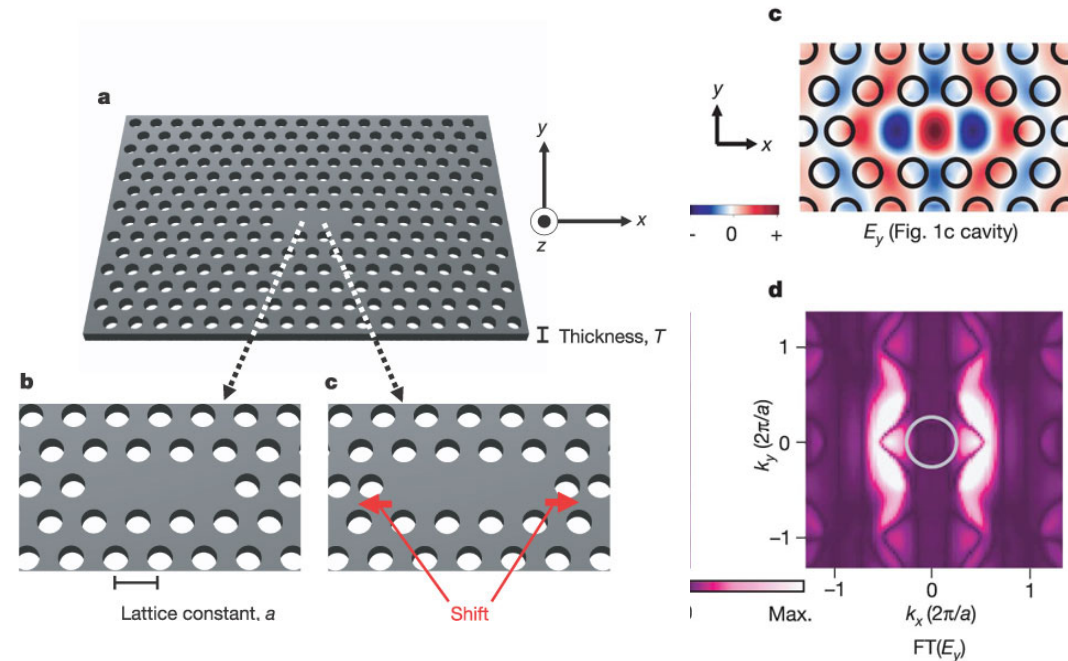
Band structure of a 2D PhC:



From John D. Joannopoulos – *Molding the flow of light*

→ **Ultimate control of light propagation and confinement**

Active level : III-V PhCs cavities



Y. Akahane, *Nature* 425, 944-947(30 October 2003)

High Q $\sim 10^4-8$, Small modal volume $\sim (\lambda/2n)^3$

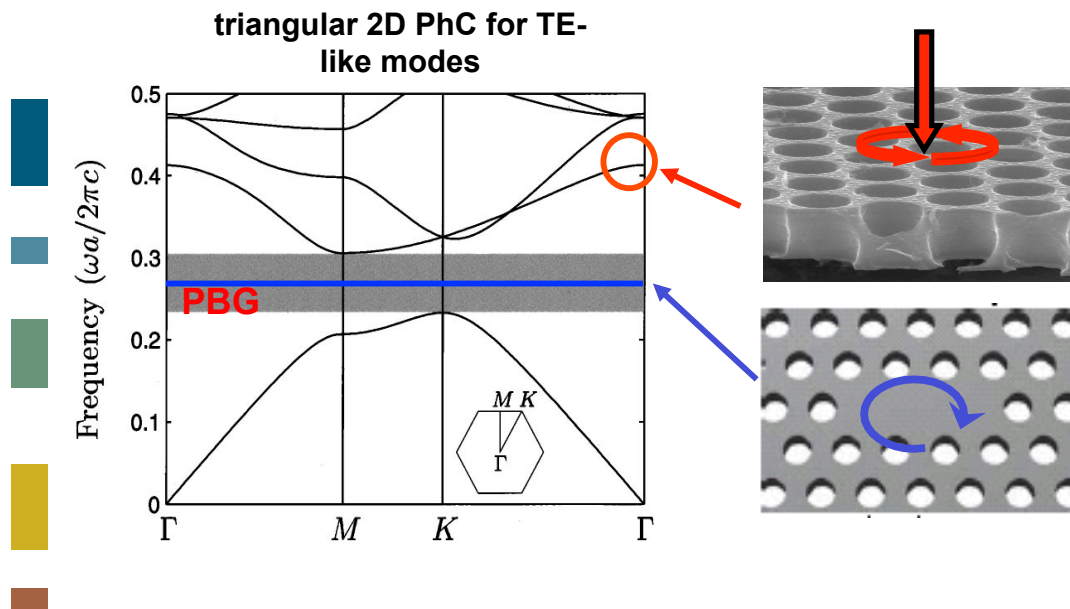
- Small footprint (few μm^2)
- High versatility
- Nonlinear effects enhancement as Q/V or Q^2/V
(low activation power)

PHOTONIC CRYSTAL LASERS PROPERTIES



when we incorporate active materials (QDs or QWs)
→ laser emission

2 types of PhC lasers



band/mode edge resonator

lateral feedback with low group velocity at flat band edge

J. Mouette et al, *Electron. Lett.* 39, 526 (2003)

defect-cavity resonator
band gap confinement

O. Painter et al, *Science* 284, 1819-1821 (1999)

What is so special?

Rate equations model

Photon density in the lasing mode

$$\frac{dS}{dt} = \frac{\Gamma\beta}{\tau_{\text{rad}}} N - \frac{S}{\tau_p} + \Gamma v_g \sigma (N - N_{\text{tr}}) S$$

Carrier density

$$\frac{dN}{dt} = R - \frac{N}{\tau_{\text{rad}}} - \frac{N}{\tau_{\text{Nrad}}} - v_g \sigma (N - N_{\text{tr}}) S$$

τ_{rad} , τ_{Nrad} carrier lifetimes associated with radiative and non radiative recombinations

Γ confinement factor β coupling of spontaneous emission into the lasing mode

τ_p photon lifetime v_g group velocity

σ differential gain N_{tr} carrier density @ transparency

What is so special with PhC nanolasers?

- High Q and small modal volumes → threshold lowering (fJ/bit!)

$$I_{\text{th}} = \frac{q}{\beta\tau_p} \left(1 + \frac{N_{\text{tr}}\beta V\tau_p}{\tau_{\text{rad}}} \right) \left(1 + \frac{\tau_{\text{rad}}}{\tau_{\text{Nrad}}} \right)$$

M. Notomi et al, Nat. Phot. 4, 648-654 (2010)

- β coupling of spontaneous emission is close to 1!

→ Spatial redistribution of spontaneous emission into the useful mode due to suppression of other modes (band gap), and Purcell effect

PhC lasers: Static properties

What is so special with PhC nanolasers?

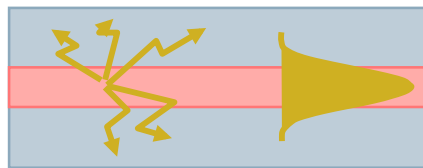
- β coupling of spontaneous emission is close to 1!

→ Spatial redistribution of spontaneous emission into the useful mode due to suppression of other modes (band gap), and Purcell effect

Light-matter interaction in semiconductor materials

acceleration of spontaneous emission given by Purcell effect

All modes (Γ_{all})



cavity mode (Γ_{cav})

$$\beta = \frac{F_p}{\gamma + F_p}$$

$$F_p = \frac{\Gamma_{cav}}{\Gamma_{all}} = \frac{3}{4\pi^2} \frac{Q}{V/\lambda^3}$$

$$Q = \text{Max}(Q_{cav}, Q_{emitter})$$

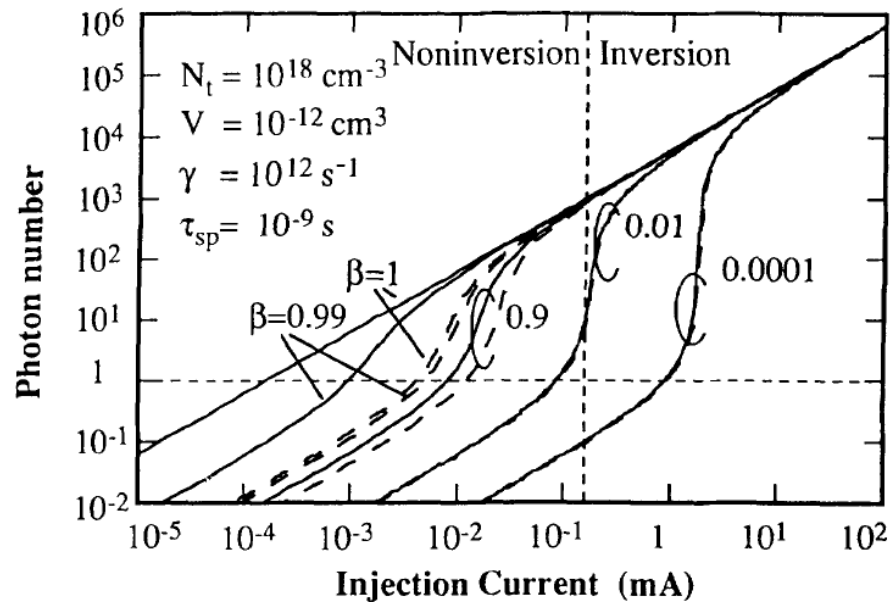
$$\gamma = \frac{\Gamma_{non_cav}}{\Gamma_{all}}$$



What is special with PhC nanolasers?

- β coupling of spontaneous emission is close to 1!

→ Threshold-less lasers?



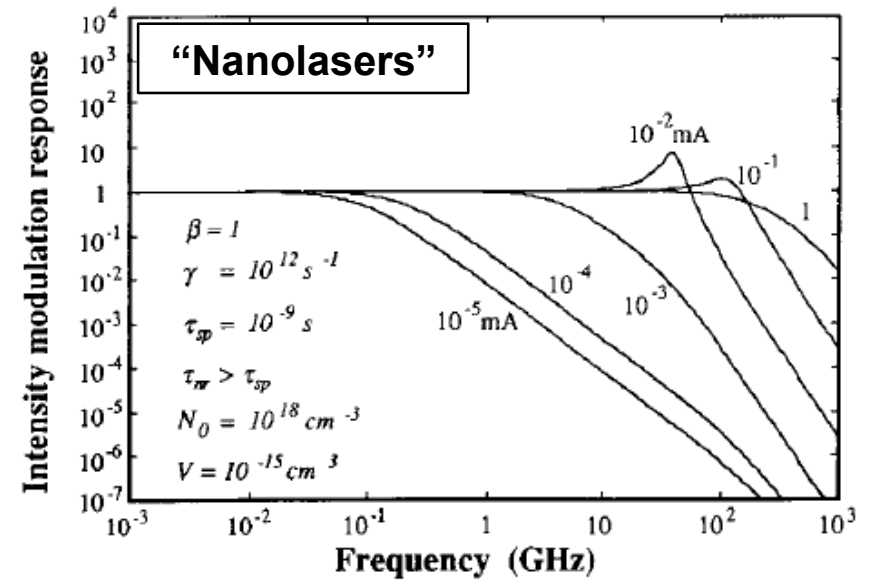
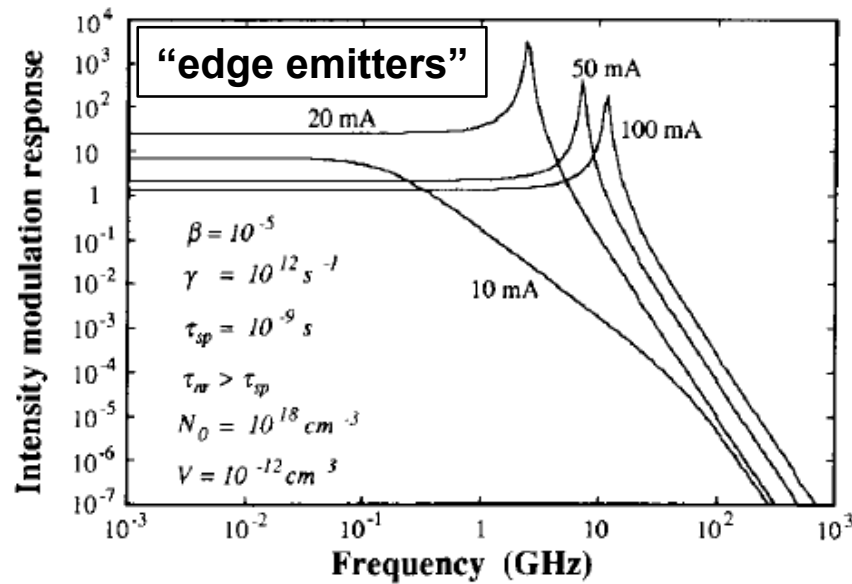
**No! New definitions of threshold!
Quantum or statistical definitions**

From G. Bjork et al, *Phys. Rev. A*, 50 1675-80 (1994)

What is special with PhC nanolasers?

- β coupling of spontaneous emission is close to 1!

→ Very fast dynamics!

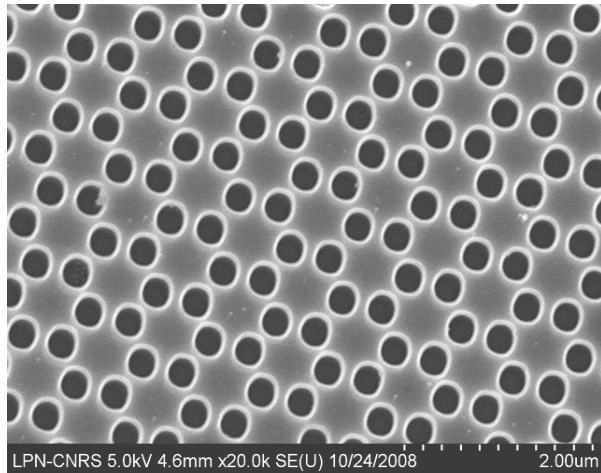


from G. Bjork et al, JQE 27, 2386-96 (1991)

→ 100GHz modulation possible!

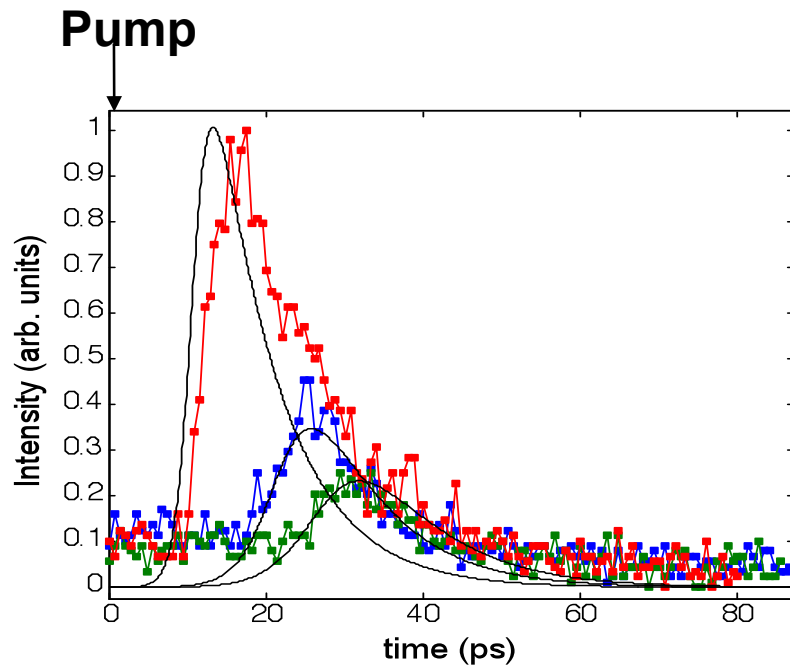
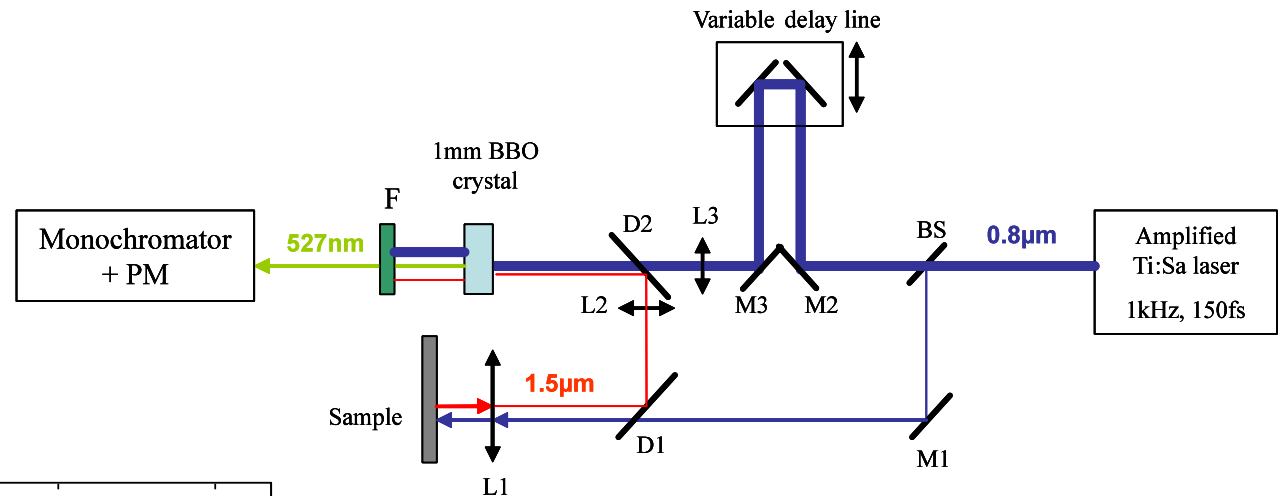
PhC laser: Dynamics

Band edge laser on Si @1.55 μm



Some experiments

Up-conversion gating technique



→ 25GHz direct modulation possible

F. Raineri et al, Opt. Express 17, 3165-72 (2009)

PhC laser: Dynamics

Some experiments on nanocavities

H. Altug, Nat. Phys. 2, 484-88 (2006)
950nm Nanocavity laser

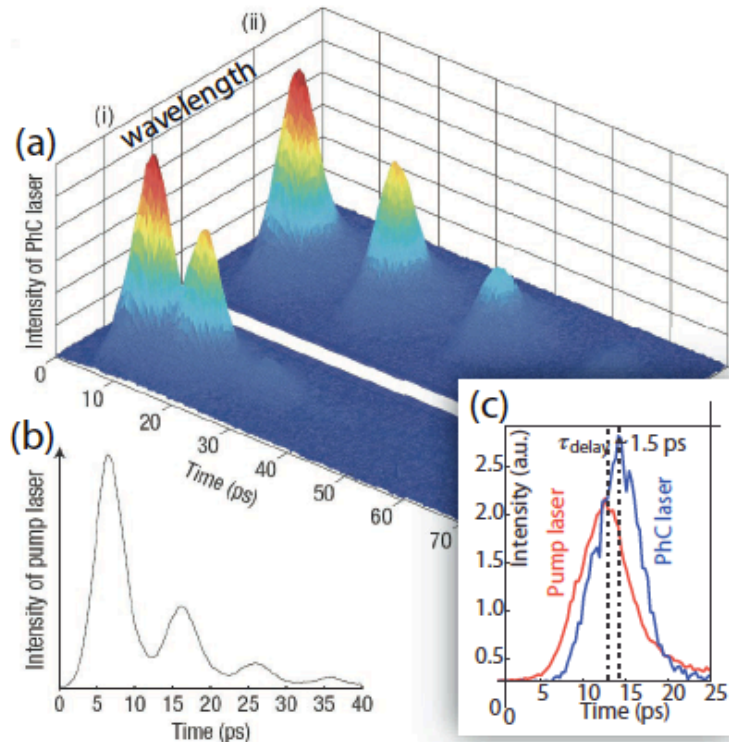
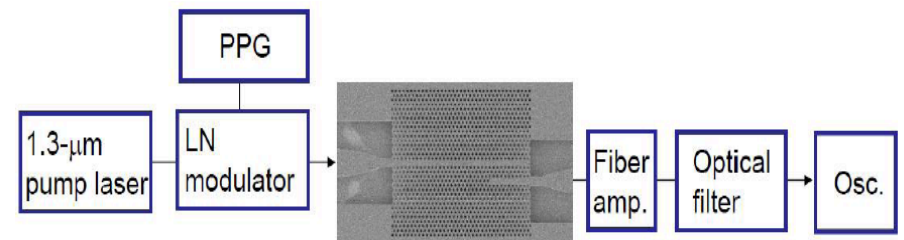
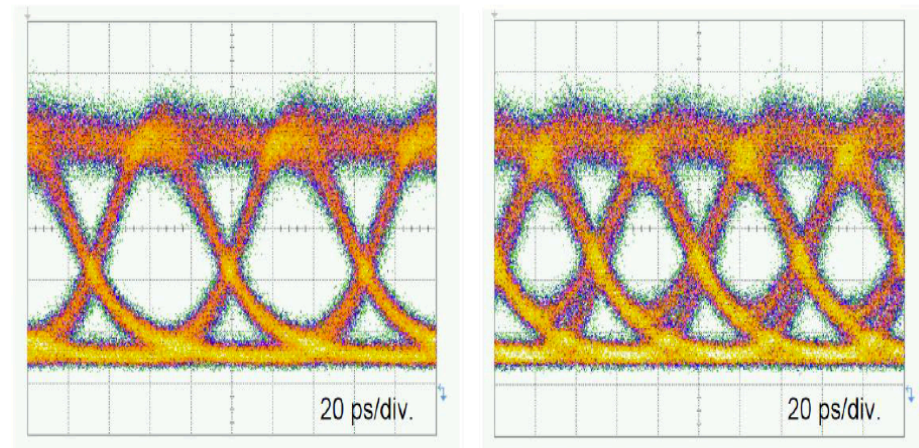


Figure 8 (online color at: www.lpr-journal.org) Large-signal lasing response in QW-driven PC laser. (a) Response to excitation pulses at (i) 9 ± 0.5 and (ii) 15 ps. (b) Excitation pulse train created by etalon setup. Imperfect mirror arrangement causes an exponential decrease in pulse power and only the first three pulses exceed the photonic crystal lasing threshold. (c) Lasing response delay.

S. Matsuo et al, Opt. Express 19, 2242-2250 (2011)
1550nm Nanocavity laser



(a)

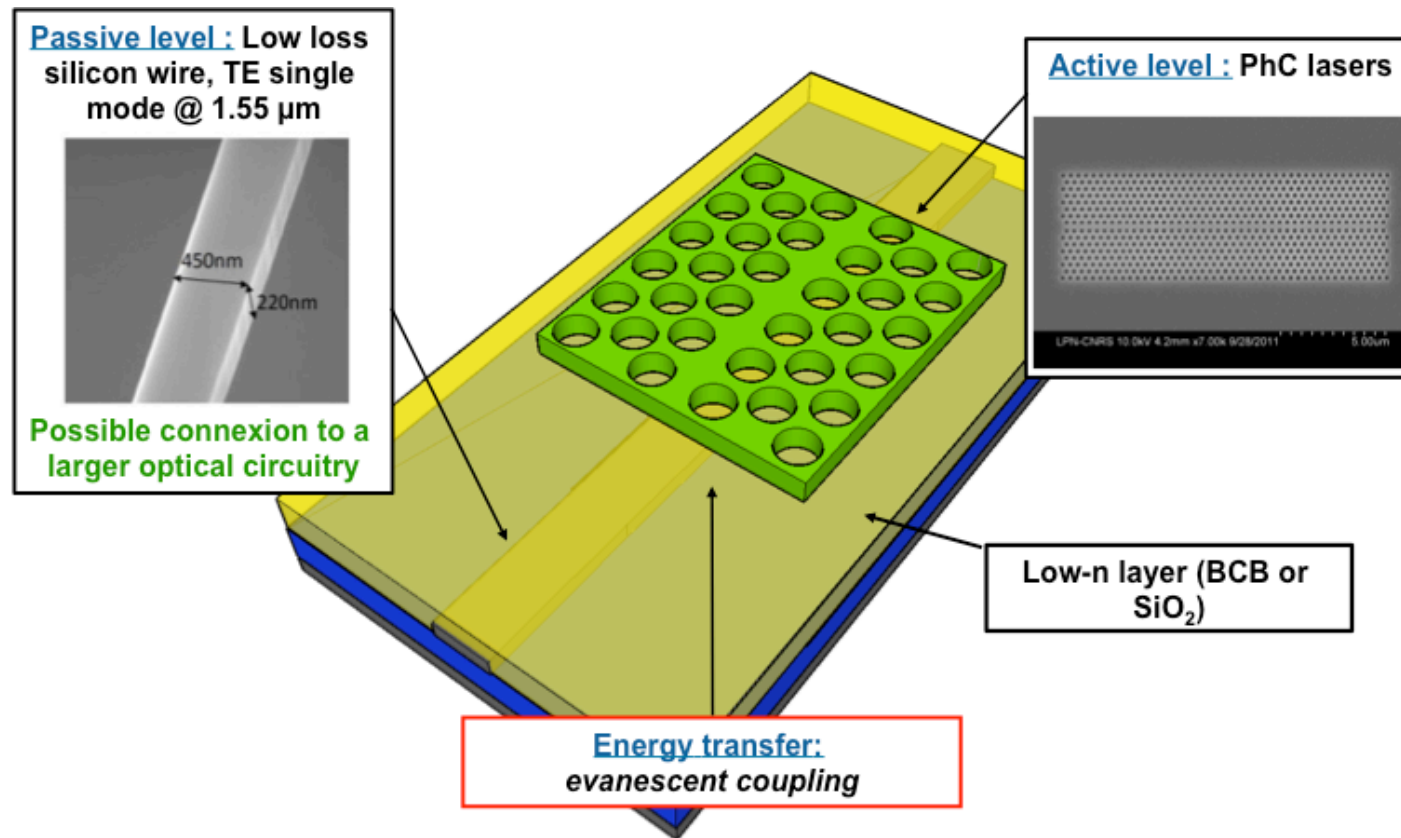


(b)

(c)

Fig. 6. (a) Experimental setup for direct modulation. Eye diagrams for (b) 15 Gbit/s and (c) 20 Gbit/s NRZ signals.

General view of the hybrid structure: coupling scheme



→ SMALL FOOTPRINT, ENERGY EFFICIENT
AND HIGH SPEED LASERS ON SILICON!

- Motivations of hybrid photonics and state of the art
- III-V/SOI nanophotonics platform

- **Nanolasers efficiently coupled to SOI circuitry**

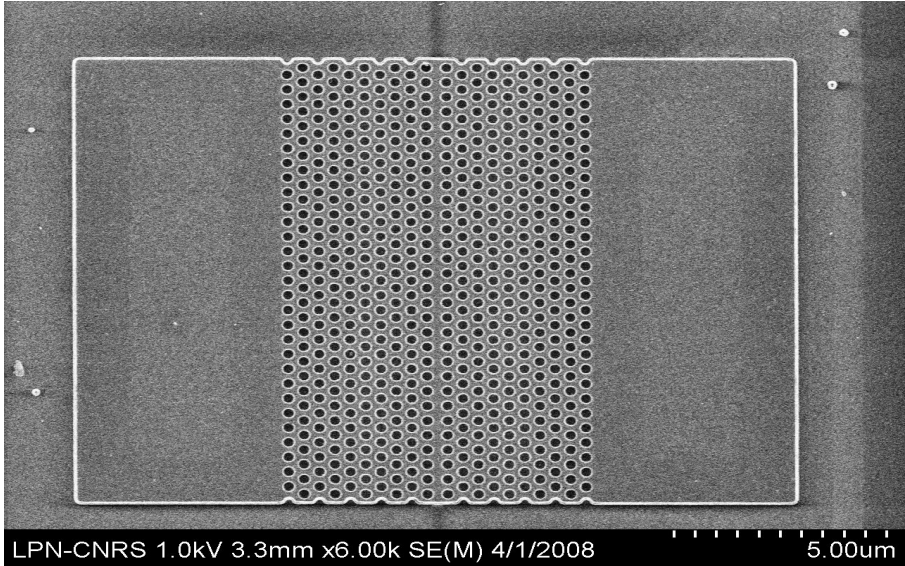
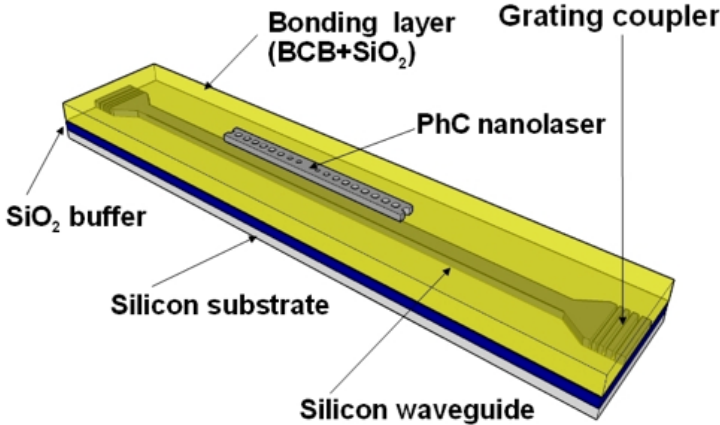
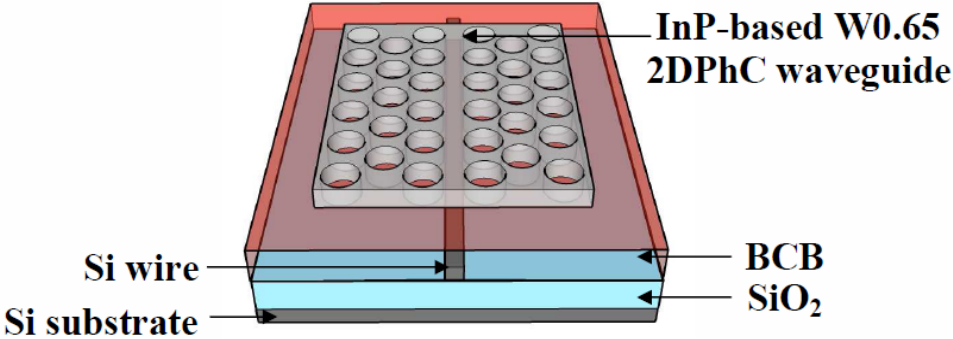
- Evanescent wave coupling

- Fabrication

- Experiments

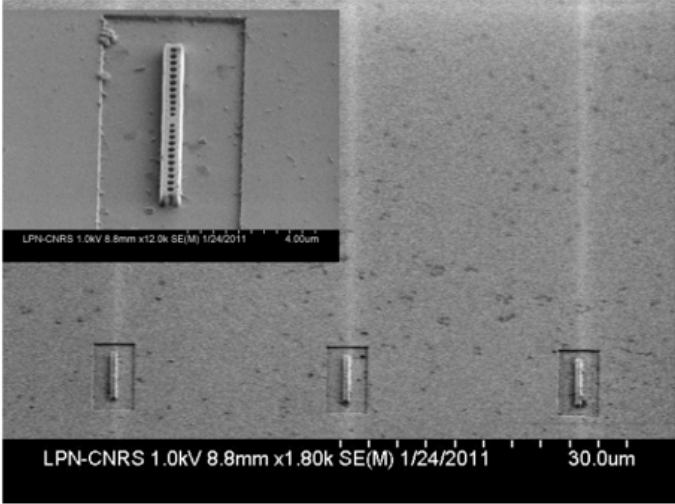
- **Hybrid memories and switches**

Different type of structures



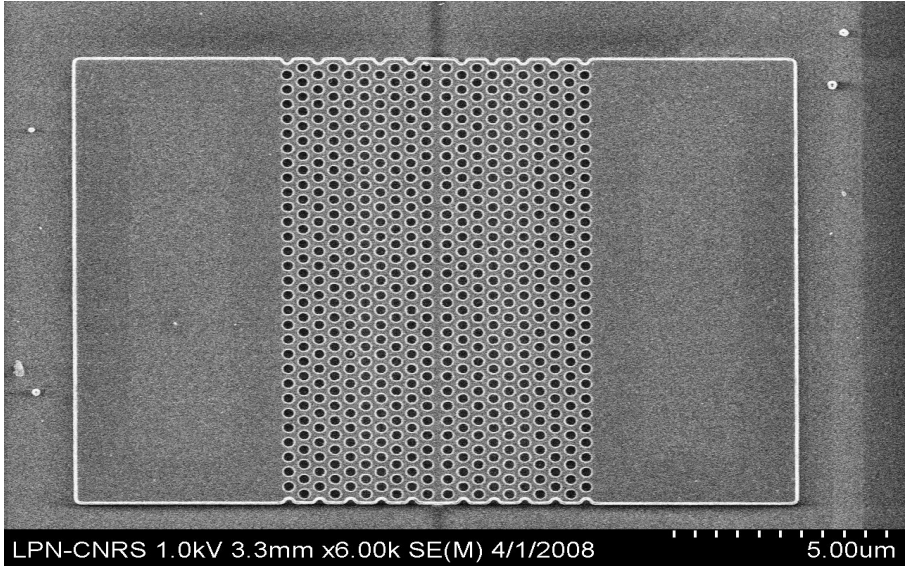
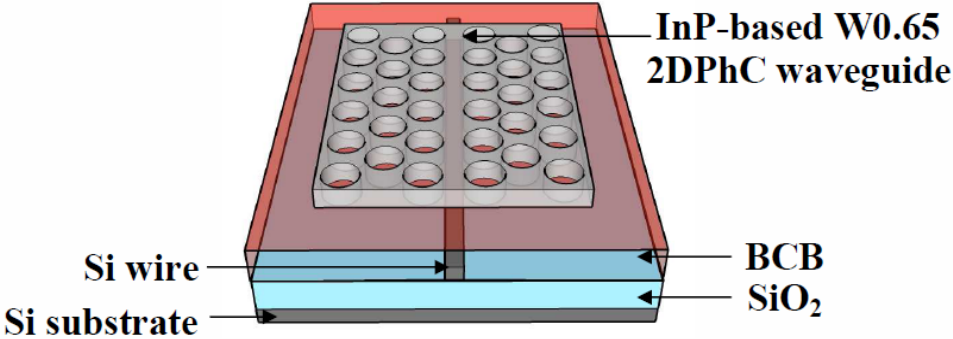
slow light waveguides

Y. Halioua et al, Appl. Phys. Lett. 95, 201119 (2009)



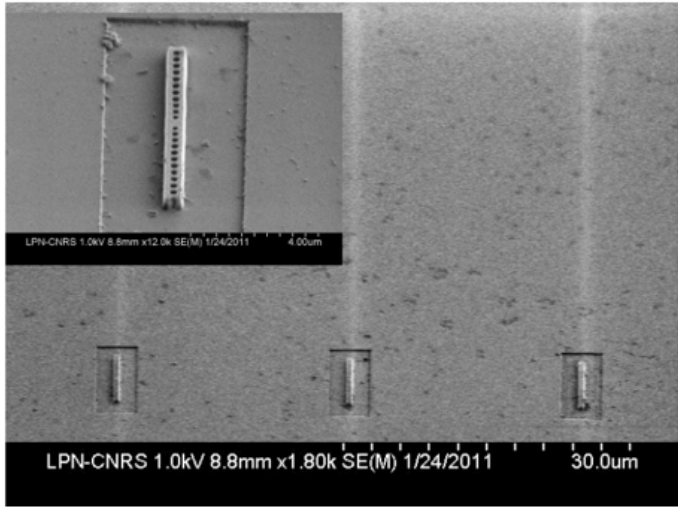
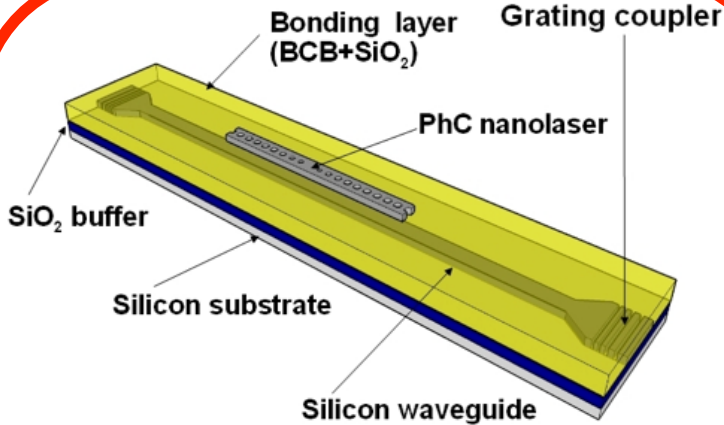
Nanocavities

Different type of structures



slow light waveguides

Y. Halioua et al, Appl. Phys. Lett. 95, 201119 (2009)



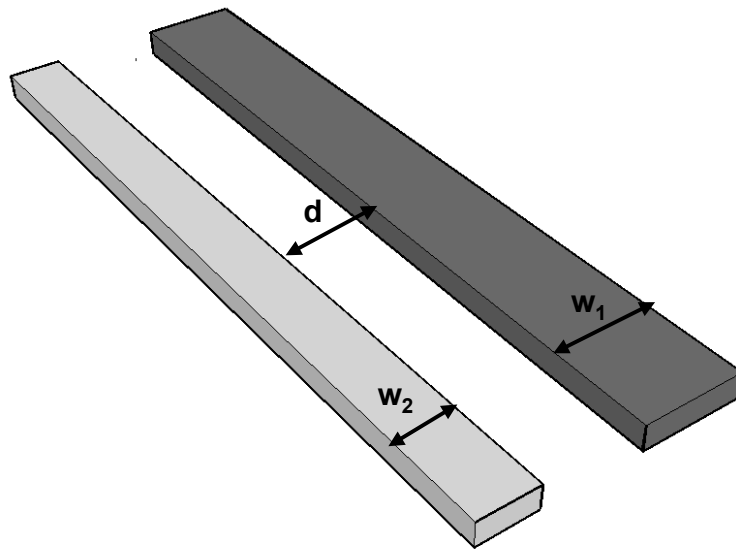
Nanocavities

EVANESCENT WAVE COUPLING

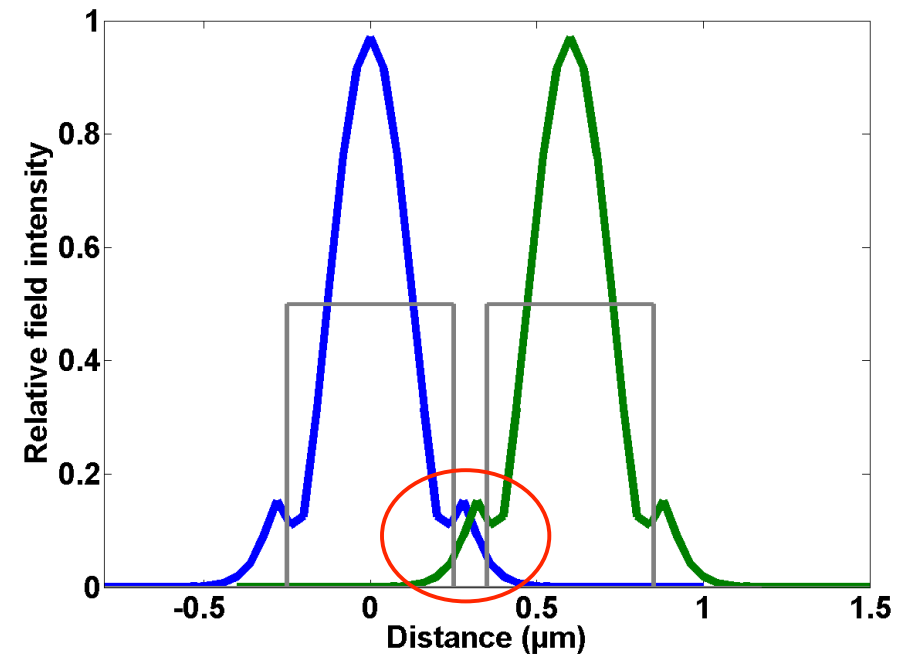


Evanescent coupling

Parallel waveguides:



E_y field amplitude of independent (2D) waveguides ($n=3$, $w=0.5 \mu\text{m}$)



Coupling efficiency determined by:

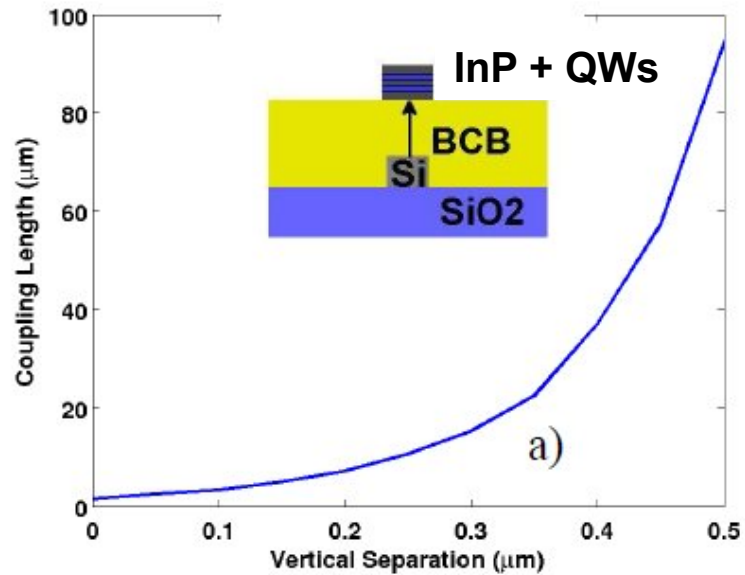
1. **Spatial field overlap** between the original modes
2. **Phase matching** between the original modes

Huang, *J. Opt. Soc. Am. A*, Vol. 11, No. 3 (1994)

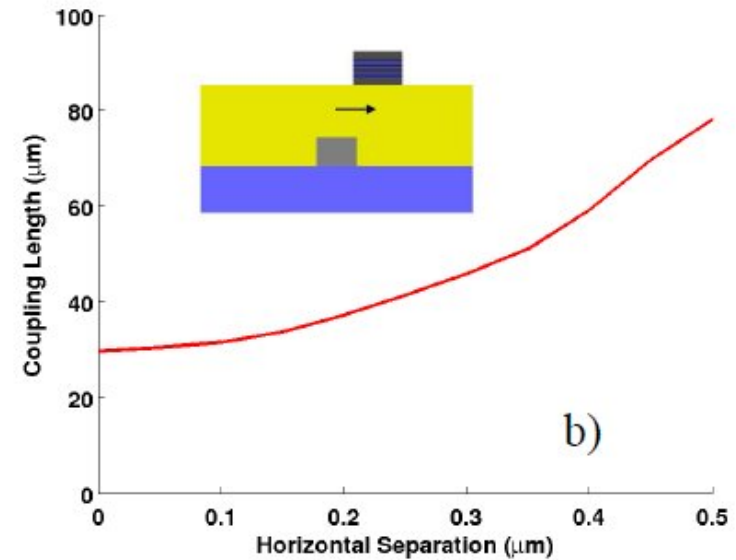
Evanescent coupling: field overlap

$$L_c \propto \frac{1}{\text{coupling strength}}$$

Vertical separation:



Lateral offset (for 400 nm BCB thickness):

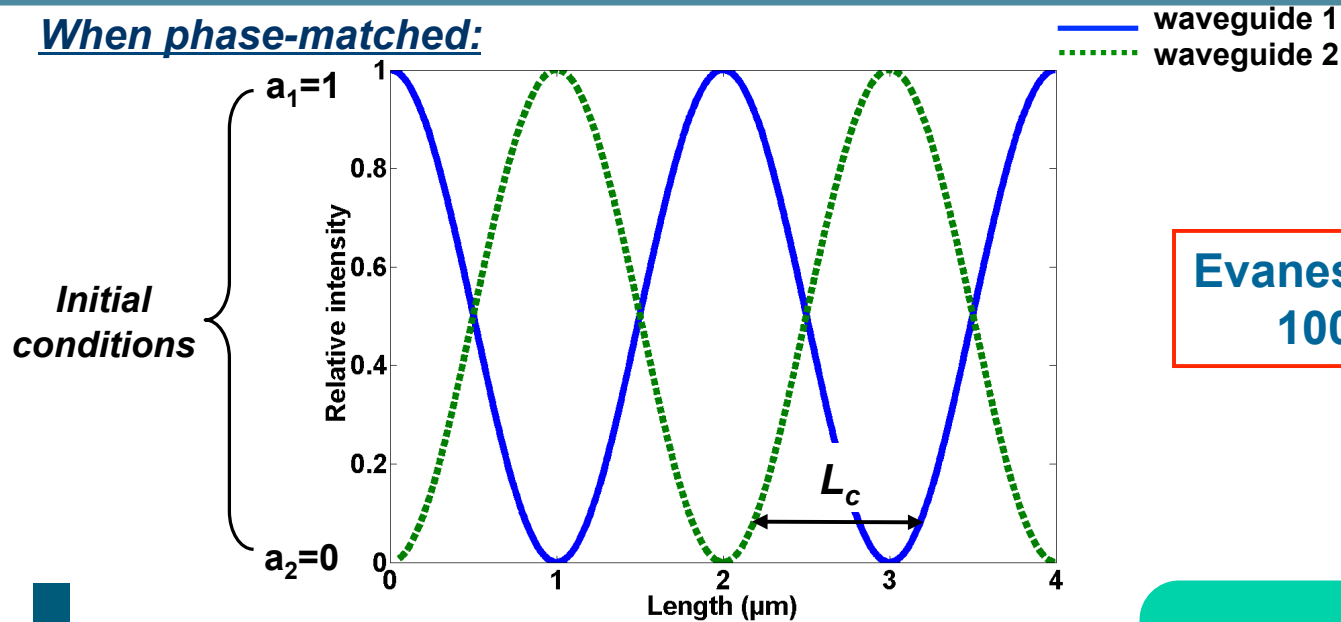


- Exponential dependence in vertical direction
- Evanescent field in BCB ~ 500 nm

100 nm misalignment gives 15% increase in the coupling length

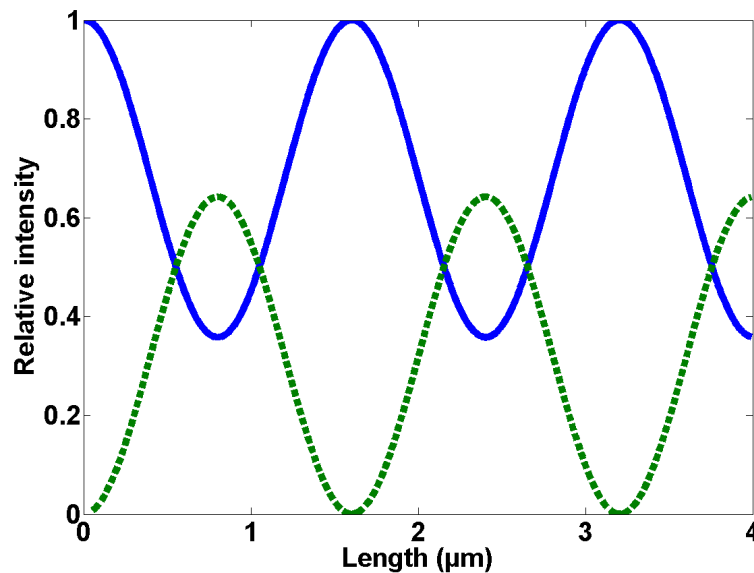
Evanescent coupling: phase matching

When phase-matched:



Evanescent coupling can lead to 100% of energy exchange

When phase-mismatched

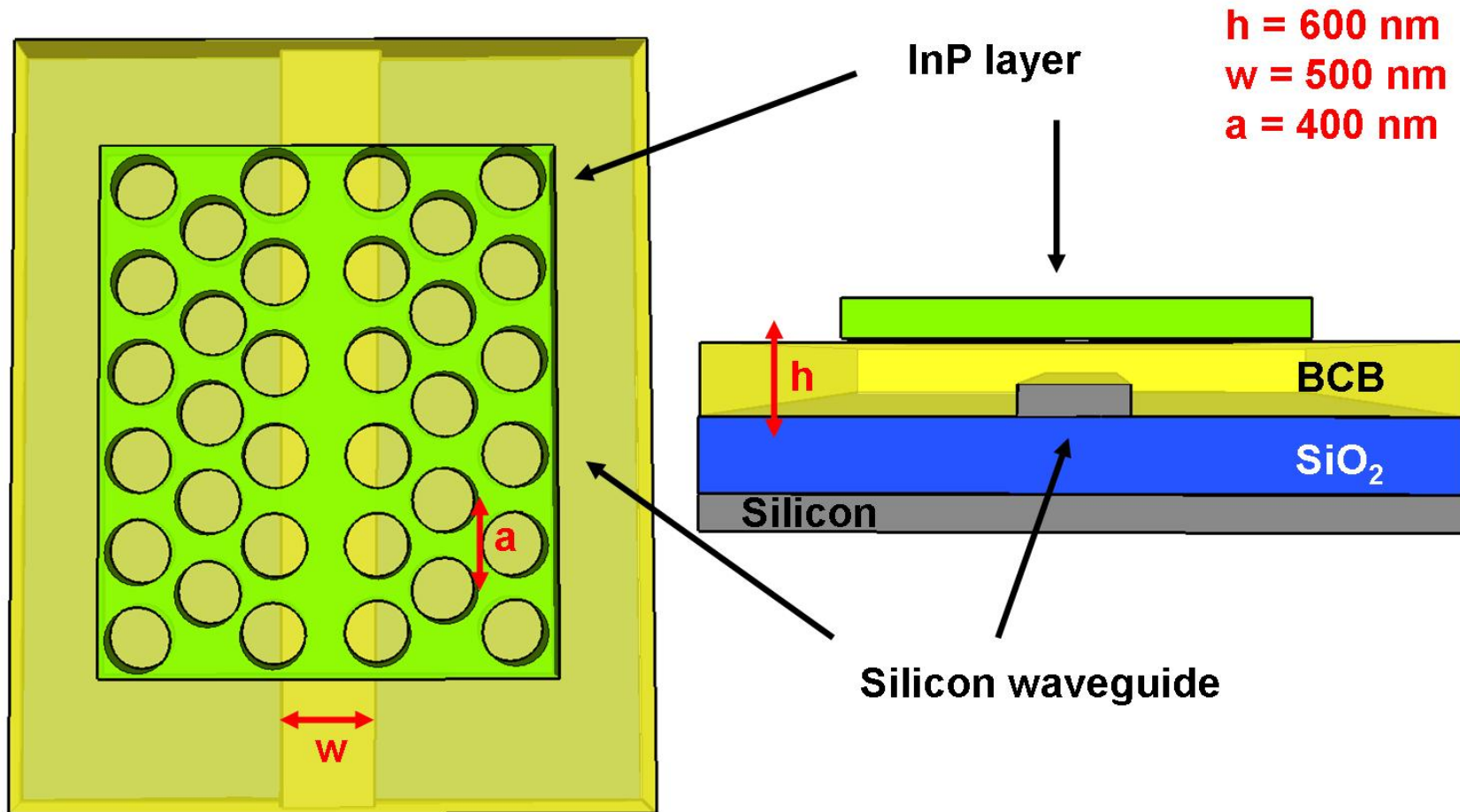


For example :
 $\Delta n_{\text{eff}} = 10\%$ implies -35% of energy exchanged

Phase matching for hybrid?
 - $n_{\text{Si}} = 3.48$, $n_{\text{InP} + \text{Qws}} = 3.3$

Impact on Fabrication

Alignment error should be at approximately 10% of the typical scales
Accurate control of dimensions necessary for phasematching condition

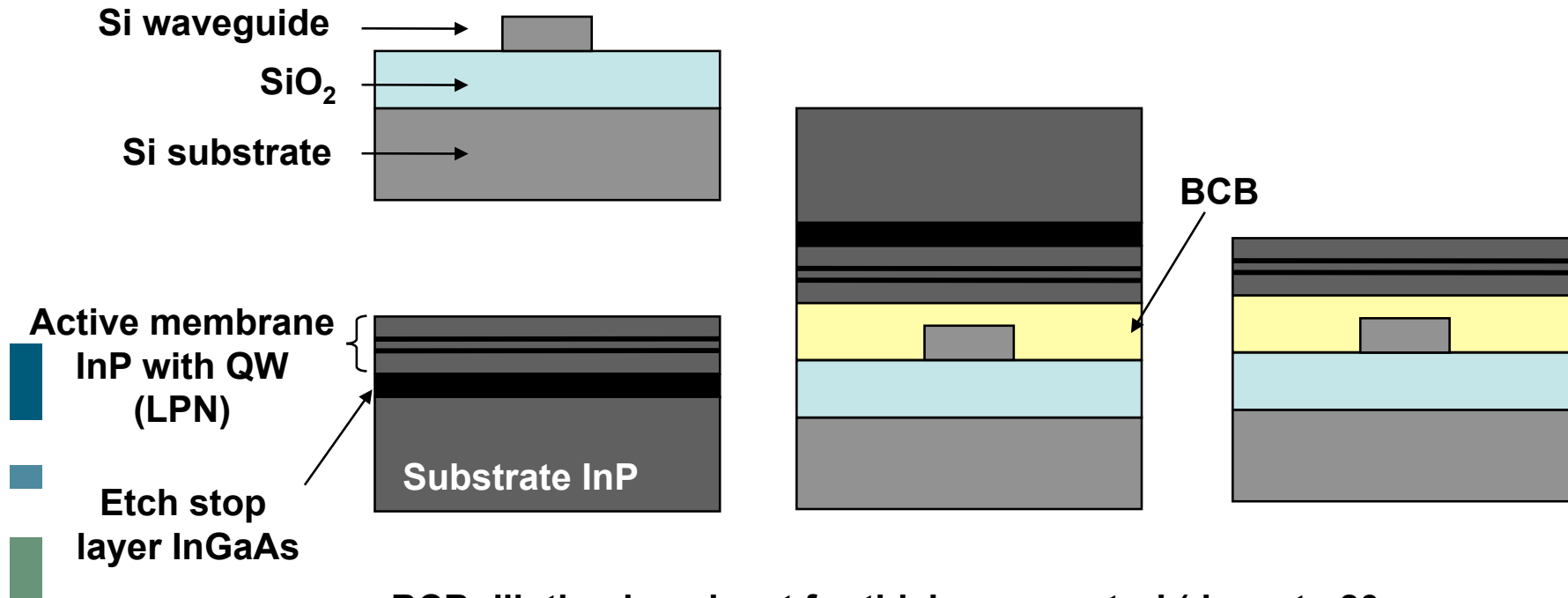


FABRICATION TECHNOLOGY



1- Adhesive bonding

Epifab CMOS (IMEC or LETI)



- BCB dilution in solvent for thickness control (down to 80nm possible!)
- Cleaning of the surfaces, spin coating
- Hard cure for polymerisation

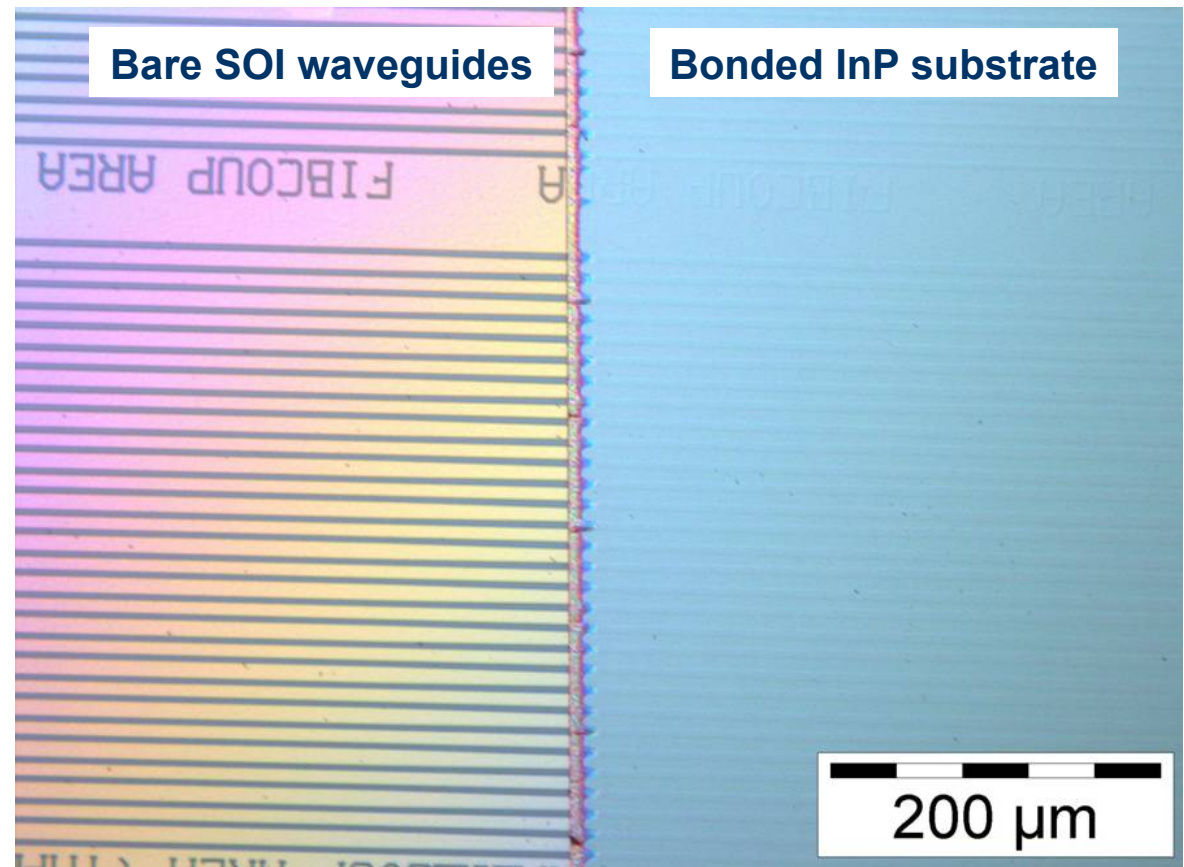
(Gunther Roelkens - Electronics Letters 41(9) p.561-562 – 2005)

1- Adhesive bonding

Achievements

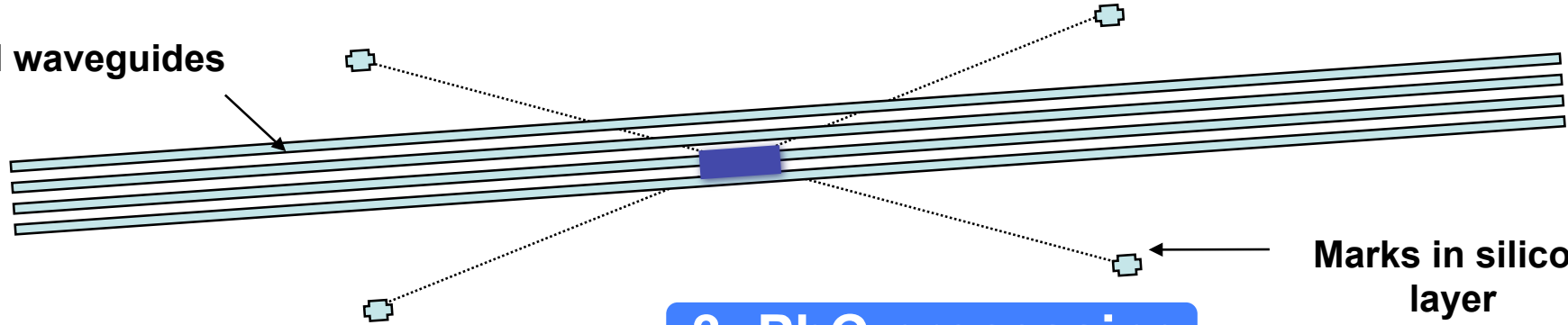
- few cm² dyes of InP
- High Yield
- BCB thickness <100nm
- Accurate control of the thickness by SiO₂ layer deposition on InP

Typical bonding InP layer on patterned SOI:

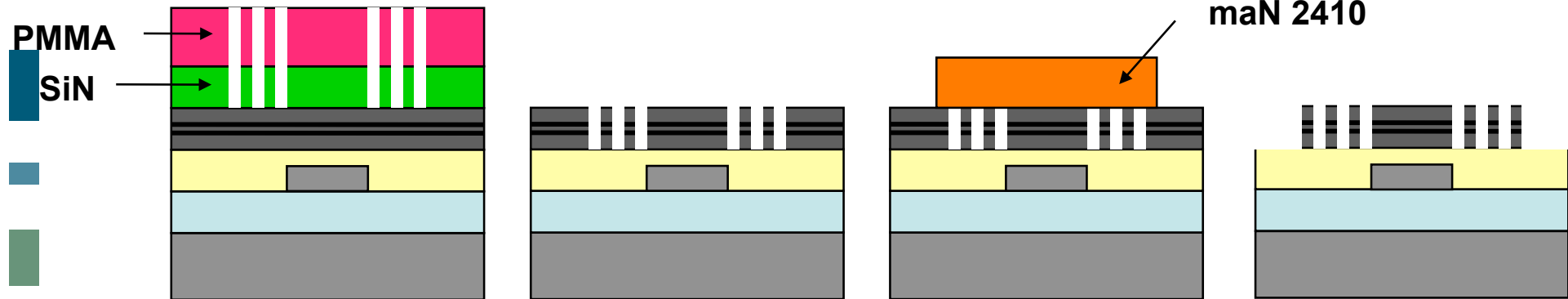


2- Aligned Ebeam lithography

SOI waveguides



3- PhC processing



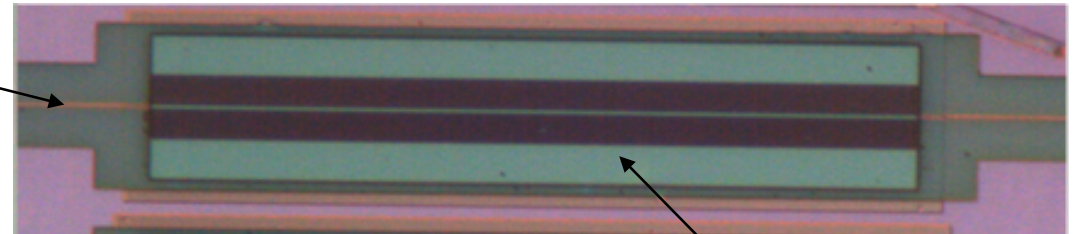
maN 2410

Hybrid structure

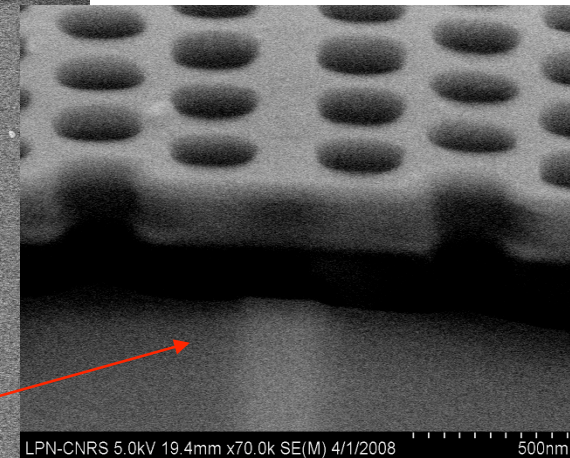
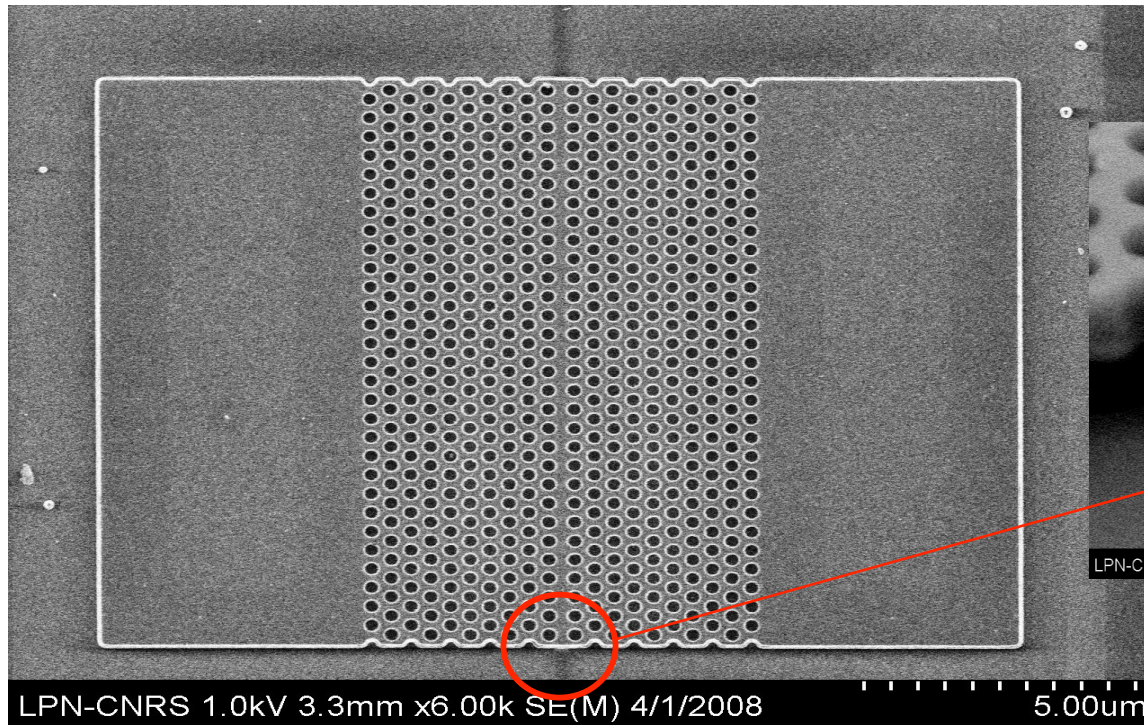
- SiN hard mask deposition
- E-beam lithography on PMMA
- RIE etching of the hard mask
- ICP etching of the InP layer *K.-H. Lee et al J. Vac. Sci. Technol. B 26 (2008).*
- InP removal

Fabrication

Silicon waveguides



InP PhC



Alignment < 30nm → control of evanescent coupling

T. J. Karle et al, J. Appl. Phys 107, 063103 (2010).

EXPERIMENTAL DEMONSTRATION

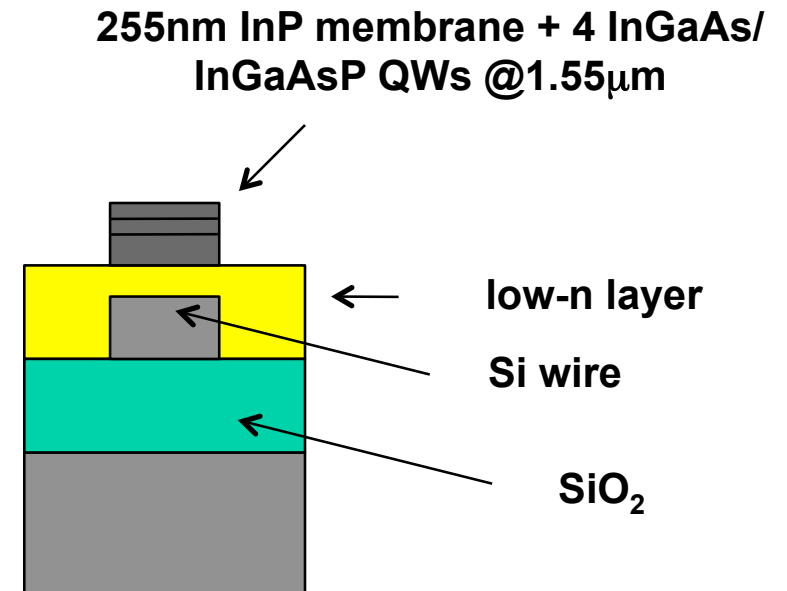
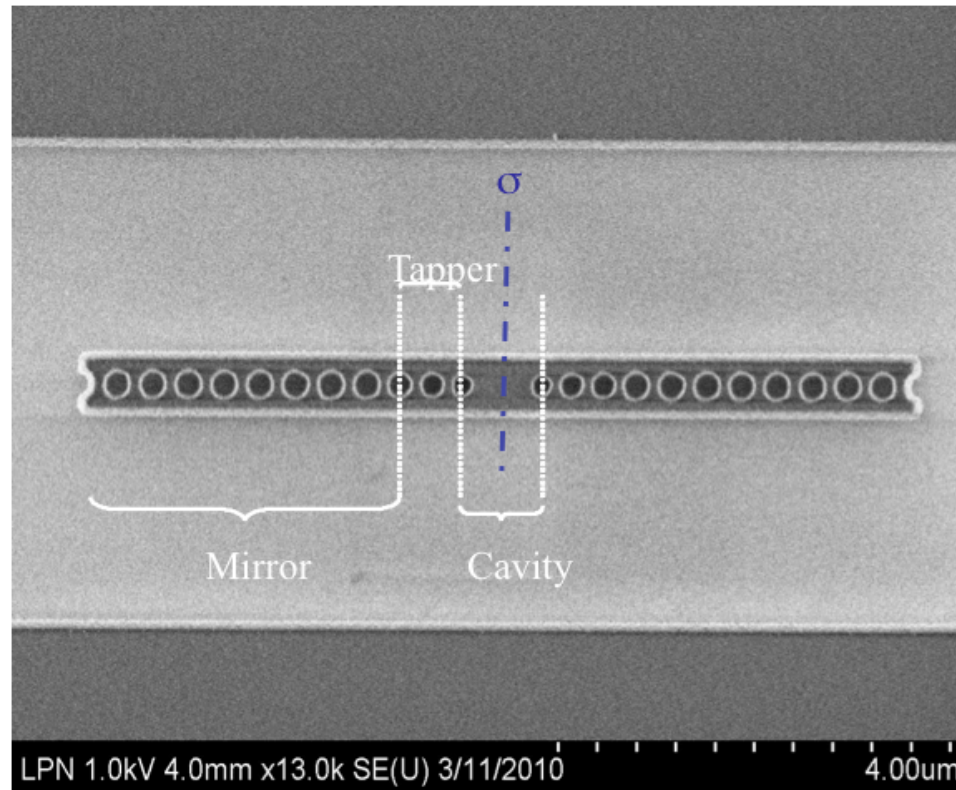


Explored sample: Wire cavity

Assets :

- High Q/V on substrate ($Q \sim 10^5$ demonstrated on SOI)
- Very small footprint ($3\mu\text{m}^2$)

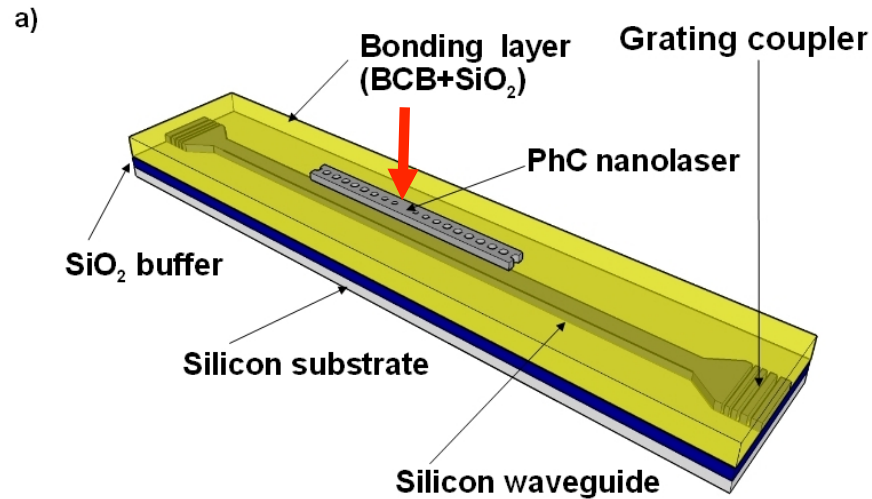
A. R. Zain, *Optics Express*, 16 (2008)



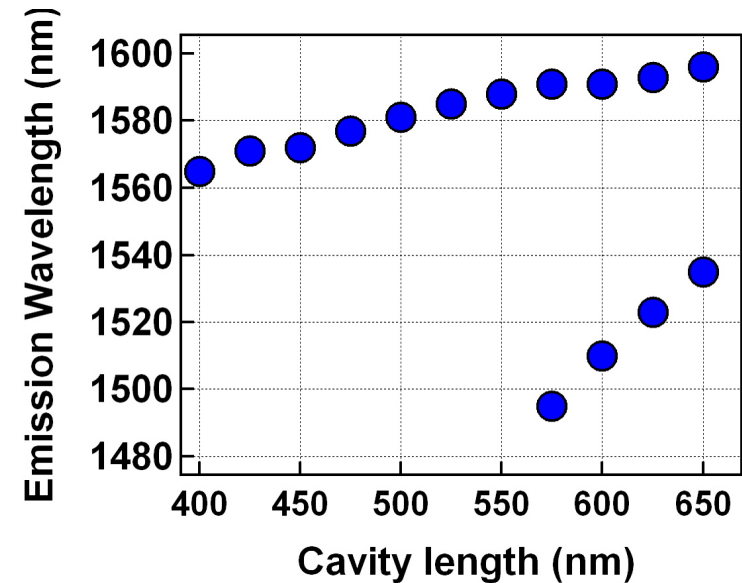
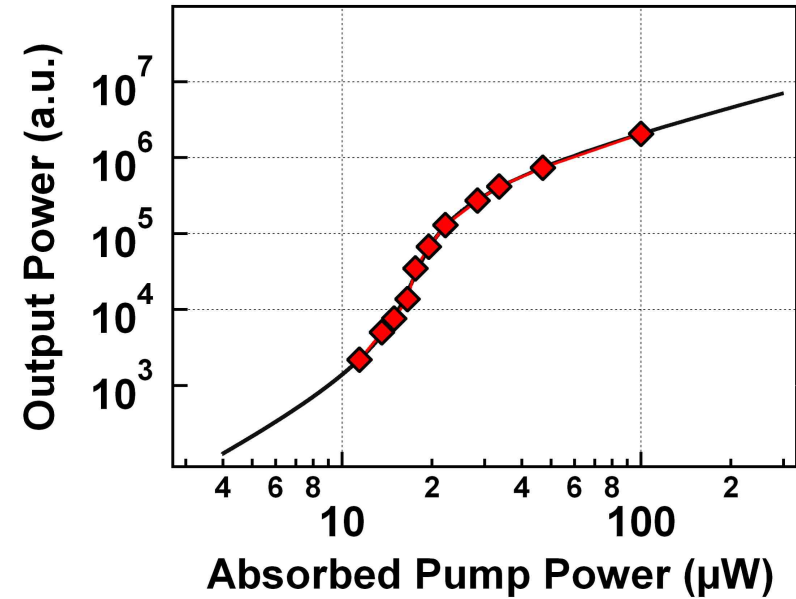
Y. Halioua et al., *J. Opt. Soc. Am. B*, 27, 2146-2150 (2010)

Laser emission

Optical pumping @800nm or 1180nm



→ Threshold around 20 μ W
→ Control of emission wavelength by tuning the cavity length

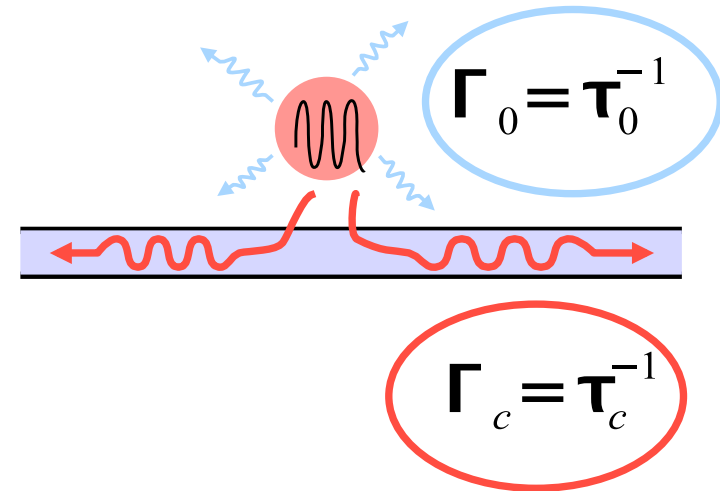


What about coupling efficiency ?

■ Cavity “losses” channels:

→ Intrinsic losses Γ_0 (*uncoupled cavity*)

→ Coupling channel Γ_c



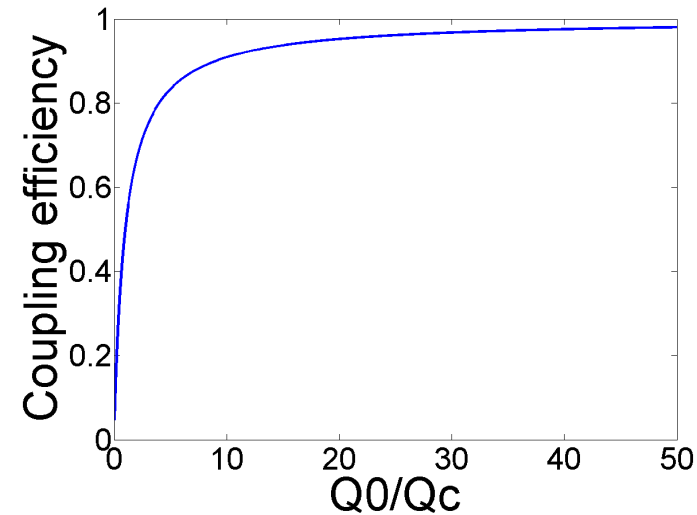
■ Coupling efficiency

$$\eta = \frac{\Gamma_c}{\Gamma_0 + \Gamma_c} = \frac{Q_c^{-1}}{Q_0^{-1} + Q_c^{-1}}$$

with

$$Q_c \propto \tau_c$$
$$Q_0 \propto \tau_0$$

$\eta \approx 90\%$ when $Q_0/Q_c = 10$



Coupling between nanolaser and waveguide

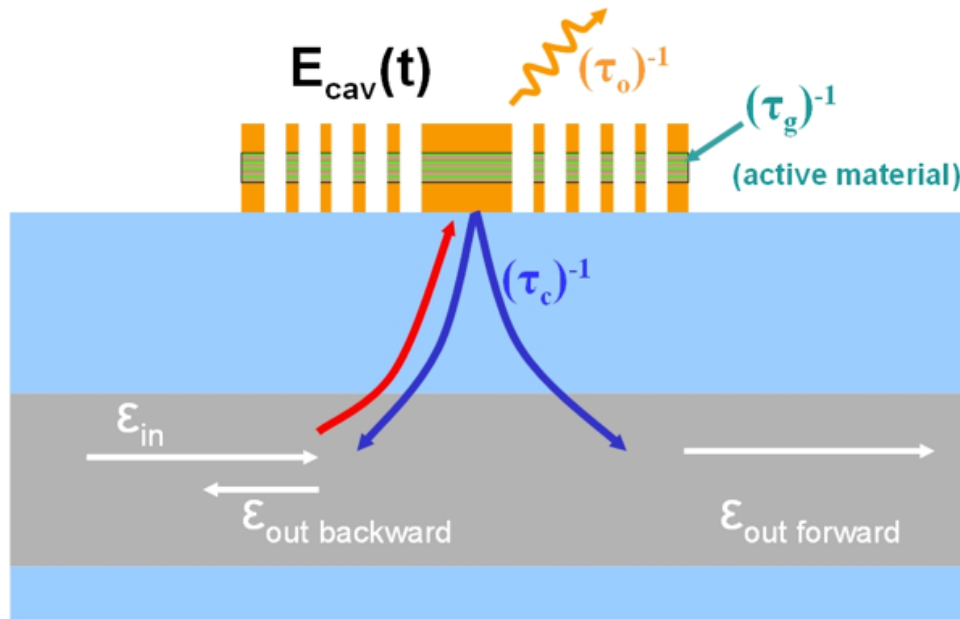
■ Laser/waveguide system: intra-cavity field temporal evolution when injected:

$$\frac{dE_{cav}}{dt} = \left(j\omega_0 - \frac{1}{\tau_c} - \frac{1}{\tau_0} + \frac{1}{\tau_g} \right) E_{cav} + \sqrt{\frac{1}{\tau_c}} \epsilon_{in}$$

absorption/gain « losses »

$$\frac{1}{\tau_g} = \frac{+\Gamma V_g \sigma (N - N_{tr})}{2}$$

→ Tunable parameter



Si Waveguide

Transmission of an incoming wave in the waveguide

$$T = \left| \frac{\epsilon_{out\ forward}}{\epsilon_{out\ input}} \right|^2$$

Coupling between laser cavity and waveguide

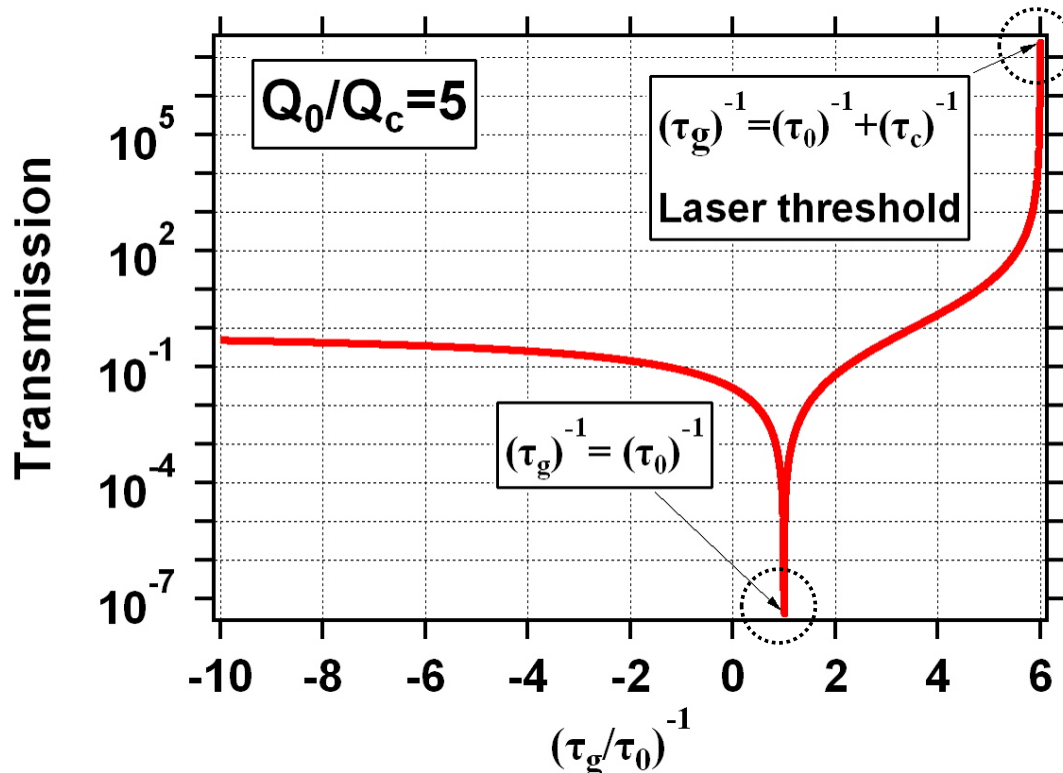
■ Coupled mode theory applied for a cavity coupled to a waveguide

$$T(\omega) = \left| \frac{1}{j(\omega - \omega_0) + \frac{1}{\tau_c} + \frac{1}{\tau_0} - \frac{1}{\tau_g}} \right|^2$$

C. Manoulatou et al., IEEE JQE
35,1322-1331(1999)



Absorption/Gain



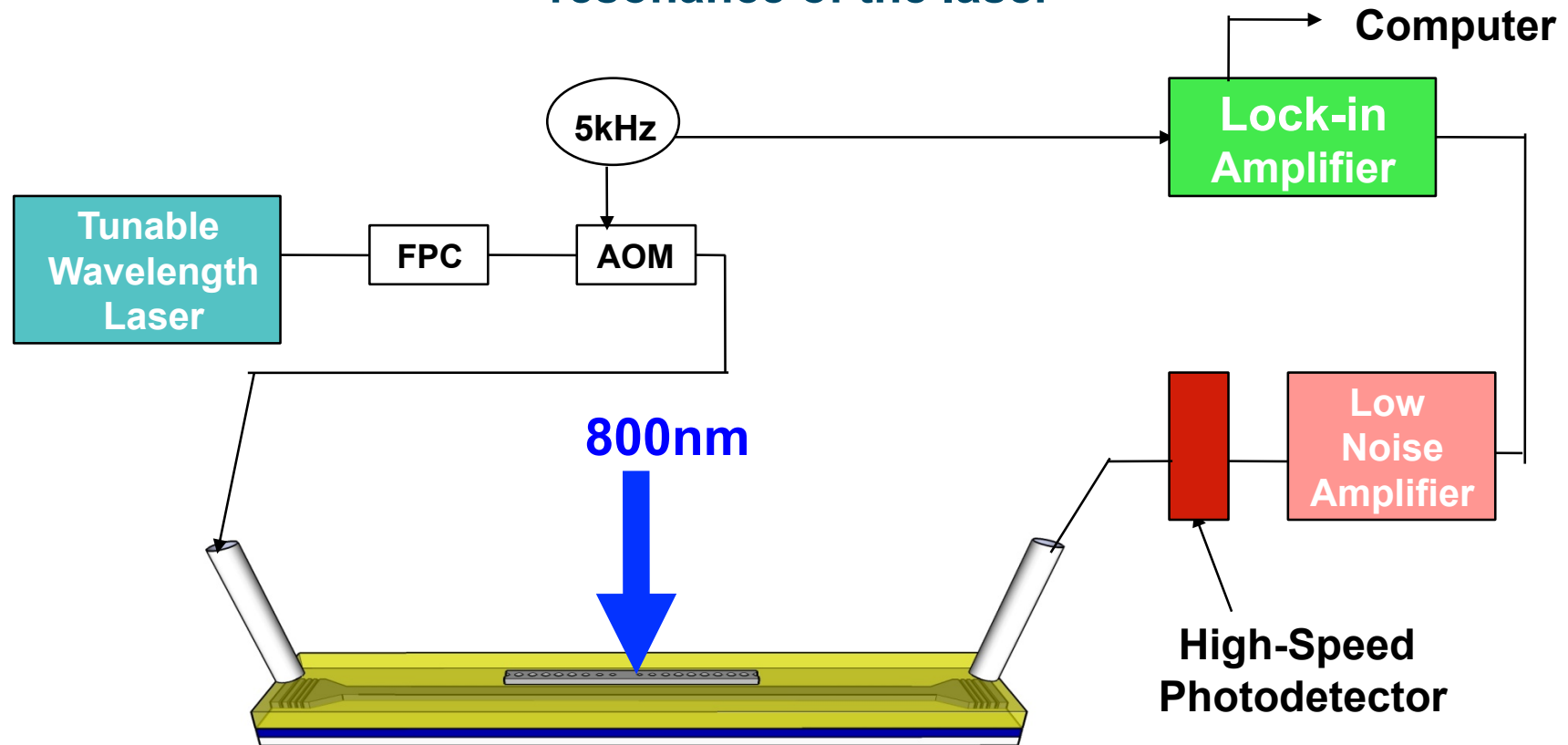
- $T=0$ when gain compensates intrinsic losses:

FWHM gives τ_c

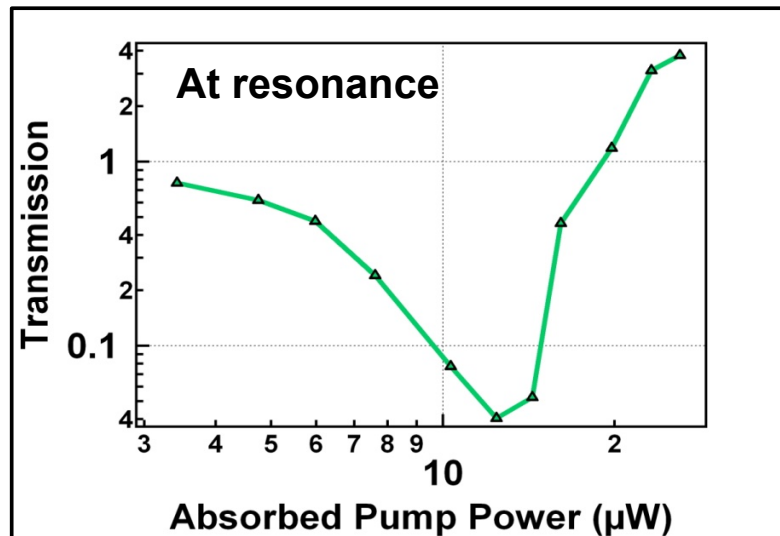
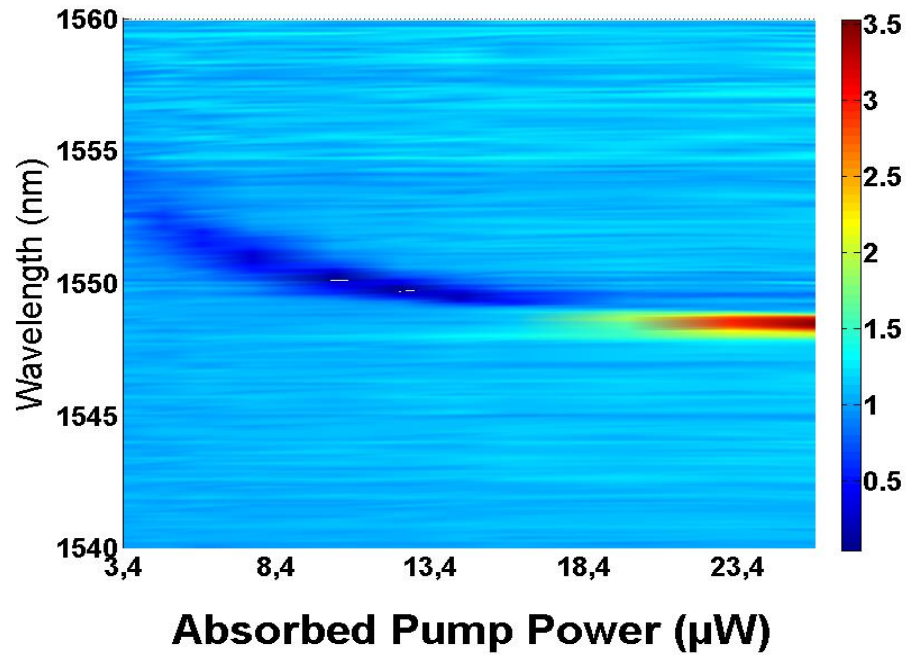
- At laser threshold
gain compensates all losses

Experimental set-up

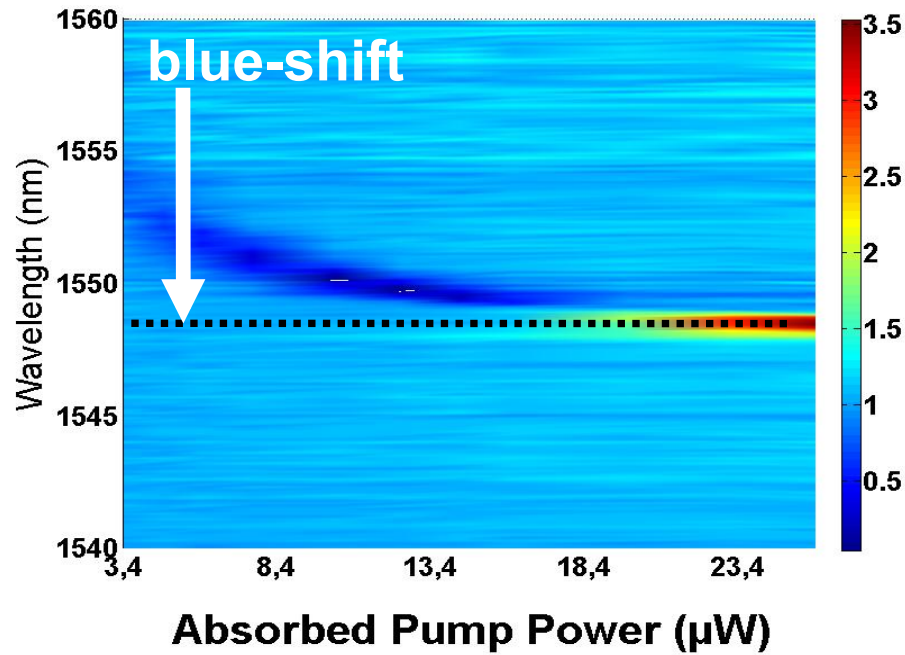
- Goal: measure transmitted spectra through the WG around resonance of the laser



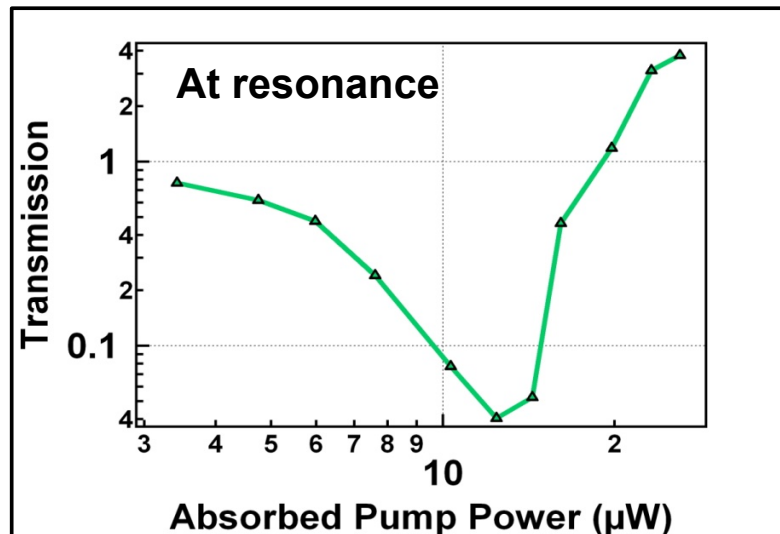
Pump-Probe transmission measurement



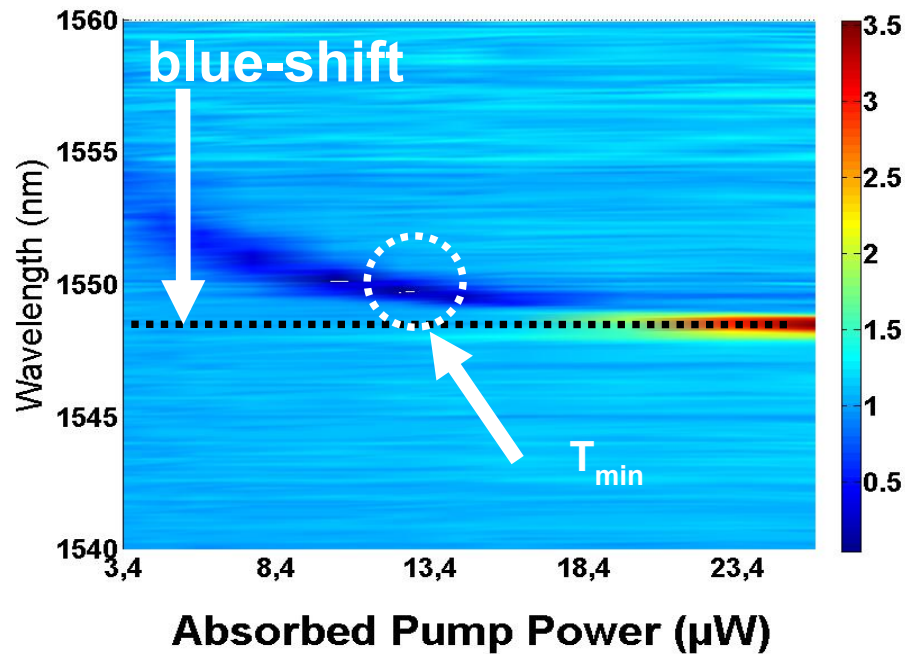
Pump-Probe transmission measurement



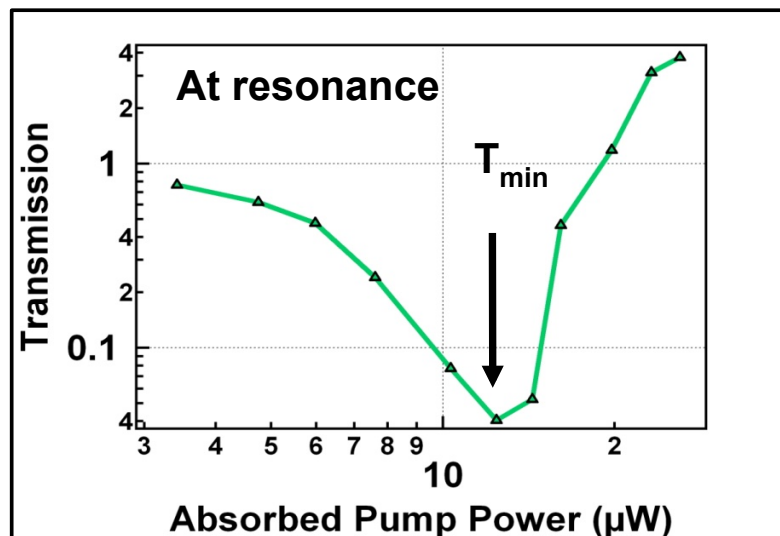
■ Index changes with carrier population (blue-shift)



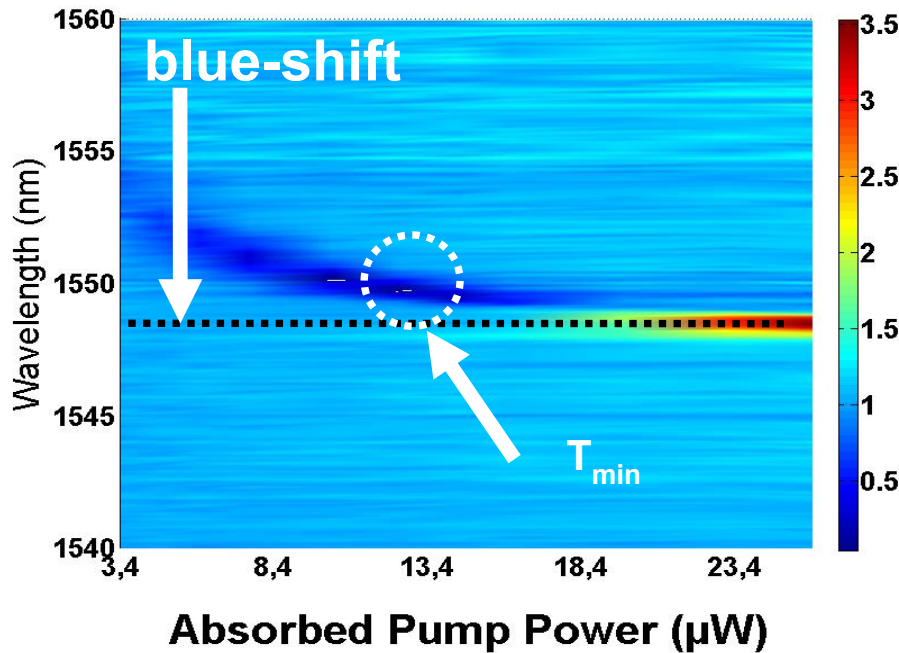
Pump-Probe transmission measurement



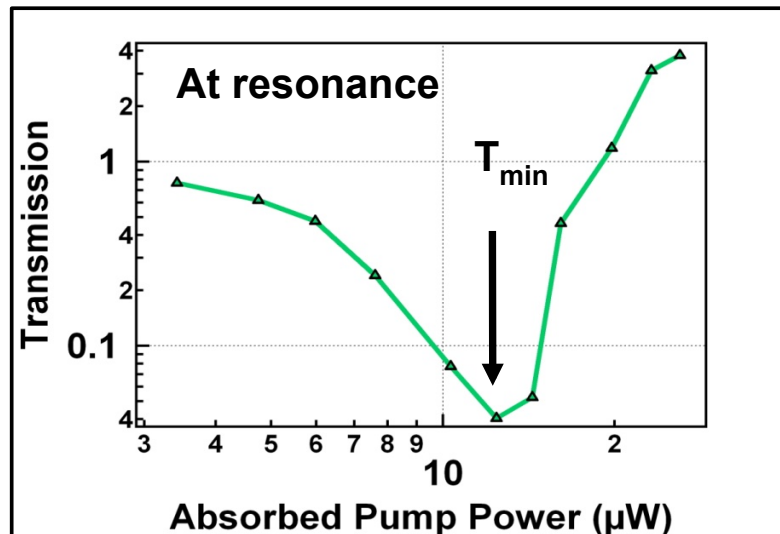
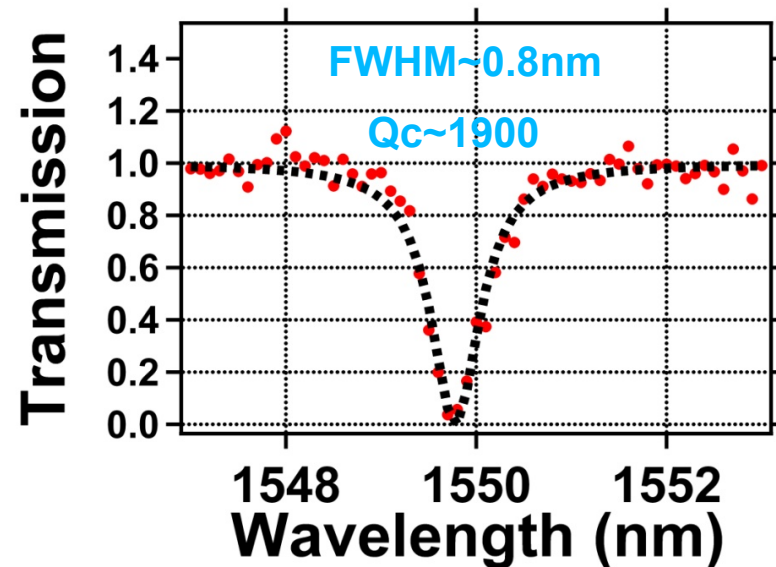
- Index changes with carrier population (blue-shift)
- Minimum of transmission when gain compensates intrinsic losses



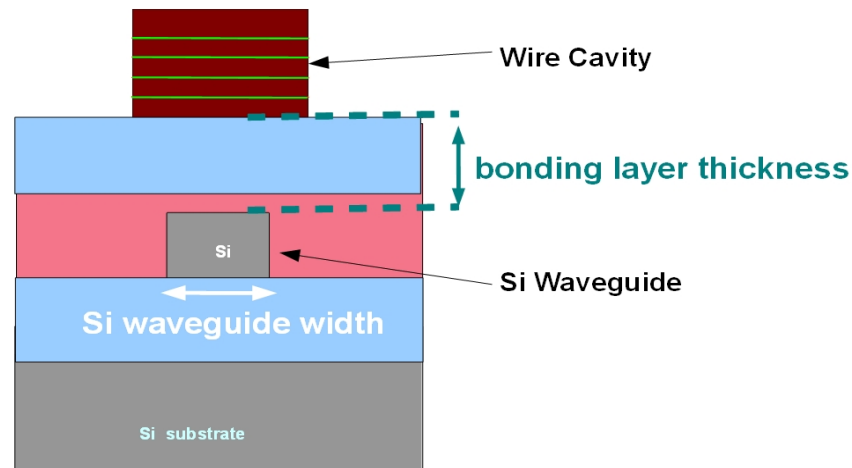
Pump-Probe transmission measurement



- Index changes with carrier population (blue-shift)
- Minimum of transmission when gain compensates intrinsic losses
- Fit of the transmission spectra at minimum gives:



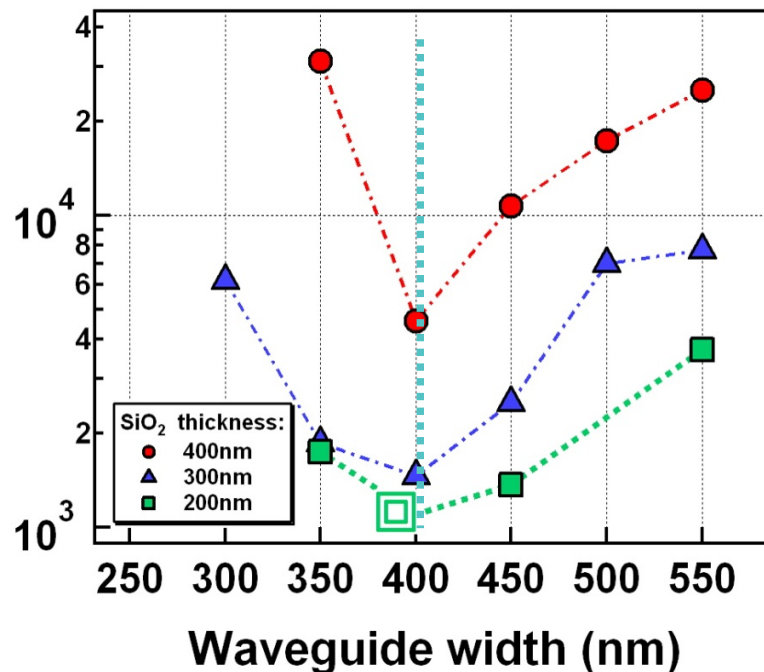
Q_c measurements



■ measurement for cavities coupled to waveguides :

- with various **widths**
- for 3 different separations layer thicknesses.

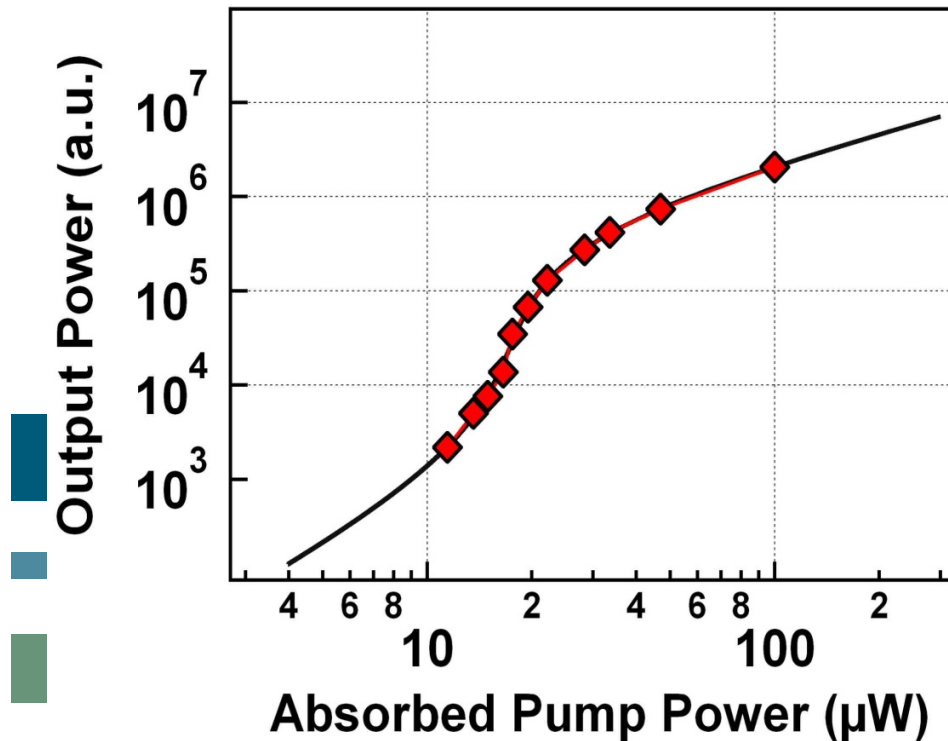
Quality factor of coupling Q_c



■ Coupling is optimal when overlap in the k-space between waveguide mode and cavity mode is the highest.

$$1000 < Q_c < 30000$$

Determination of Q_0



■ Uncoupled cavity quality factor Q_0 is necessary to retrieve η

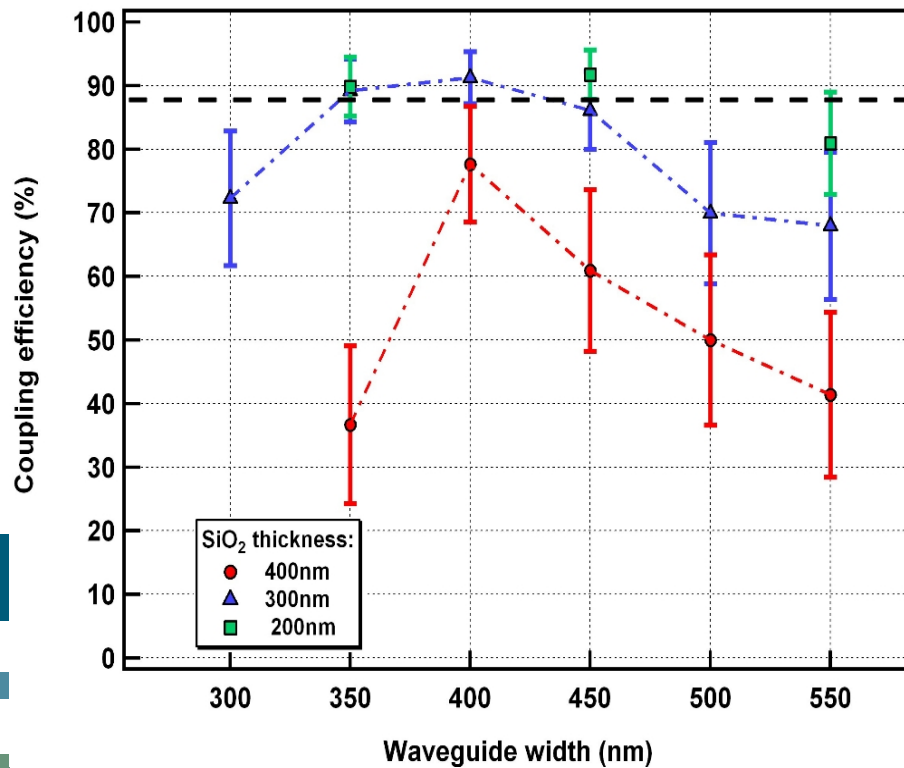
■ Standard rate equations for QWs laser are used to fit L-L curve with 2 free variables:

β factor

Photon-lifetime

$\rightarrow 10000 < Q_0 < 30000$

Coupling efficiency



Halioua et al, Opt. Express 19, 9221 (2011)

Total losses > Maximum gain

Boundary of laser emission

Total losses < Maximum gain

Coupling efficiency > 90%

→ Very efficient coupling

→ very efficient way to interface PhC cavities

- Motivations of hybrid photonics and state of the art
- III-V/SOI nanophotonics platform
- Nanolasers efficiently coupled to SOI circuitry

- Hybrid memories and switches

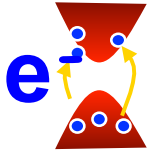
 - Bistable injected lasers

 - 10Gbits/s switches

- Conclusion and Future Work

Operation based on active material

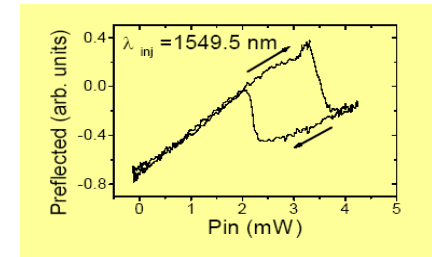
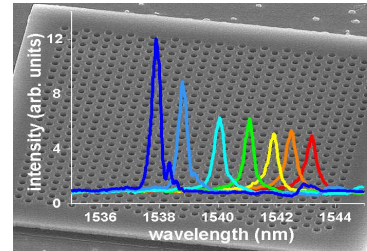
III-V quantum wells are embedded as active medium



Large optical nonlinearities through injection of carriers

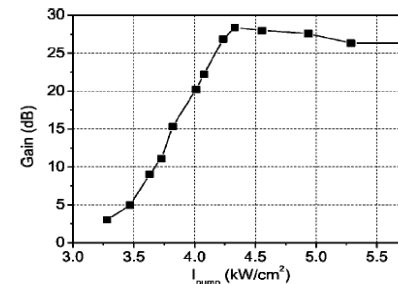
n and α (or g) are dependent on intensity

dispersive nonlinearity
optical switching, bistability



F. Raineri et al, Opt. Lett. 30, 64 (2005)

absorption/gain nonlinearity
amplification, laser emission,
bistability



F. Raineri et al, Appl. Phys. Lett. 86, 091111 (2005)

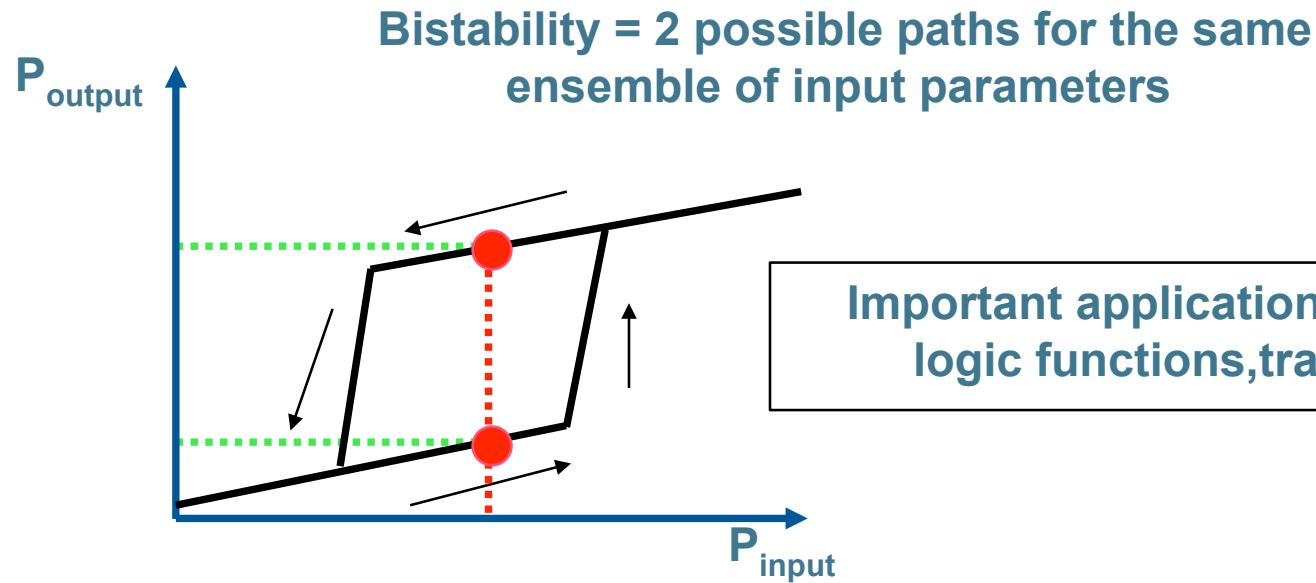


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Optical Bistability through injection locking

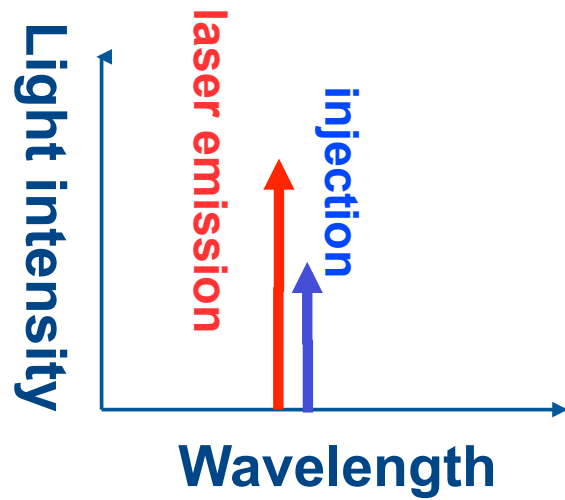


How is it achieved?

→ Resonator+nonlinear material (dispersive nonlinearity or absorption/gain saturation)

Optical Bistability of injected PhC laser

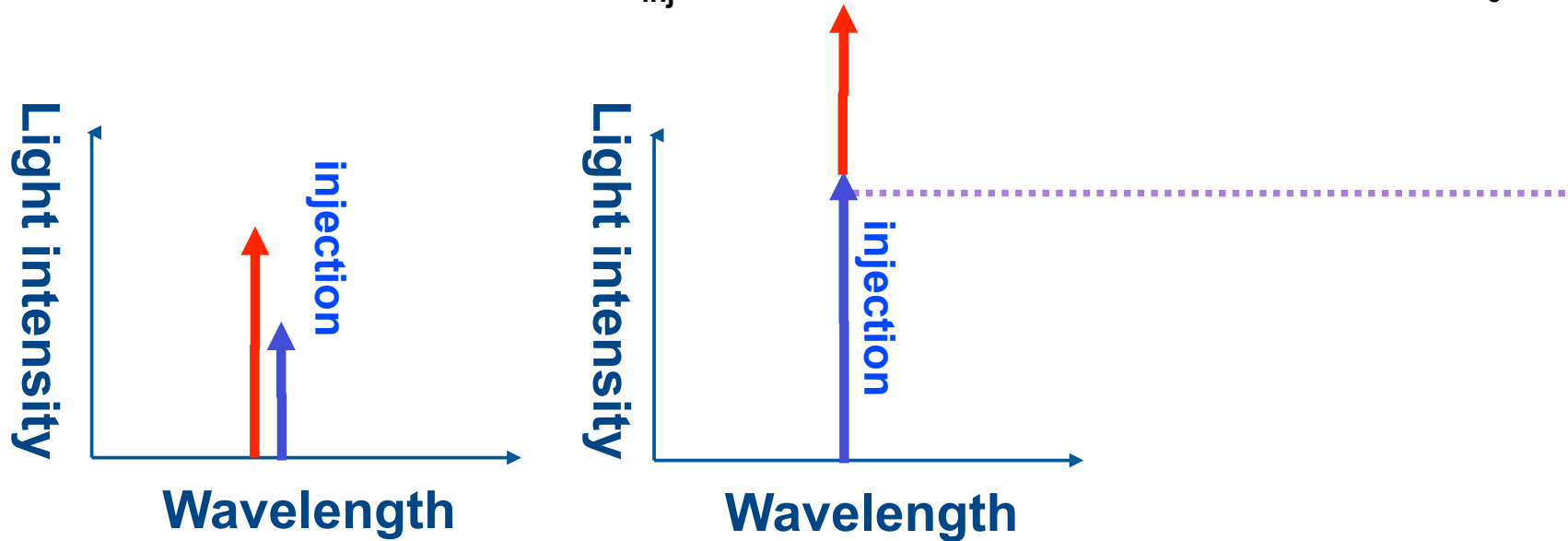
What if we inject a red-shifted (λ_{inj}) external laser in free running laser state (λ_0) ?



→ carrier density changes through stimulated emission

Optical Bistability of injected PhC laser

What if we inject a red-shifted (λ_{inj}) external laser in free running laser state (λ_0) ?



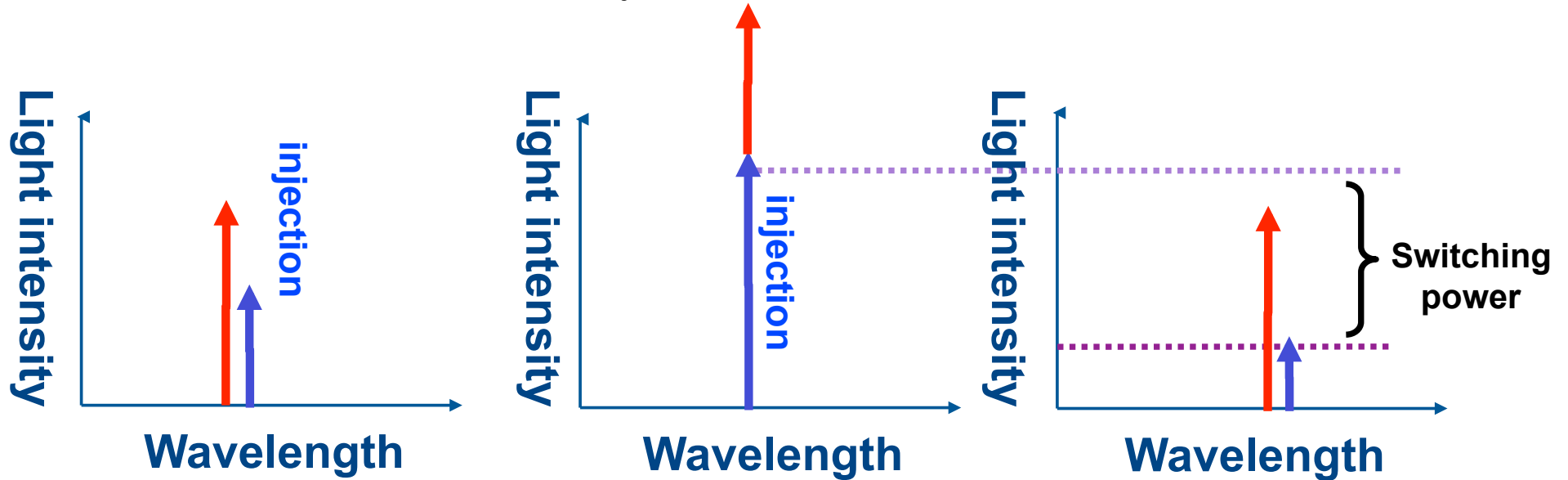
→ carrier density changes through stimulated emission

→ as injected power is increased, laser is locked at λ_{inj}

→ $\lambda_0 = \lambda_{inj}$ and injected intracavity intensity is higher

Optical Bistability of injected PhC laser

What if we inject a red-shifted (λ_{inj}) external laser in free running laser state (λ_0) ?



→ carrier density changes through stimulated emission

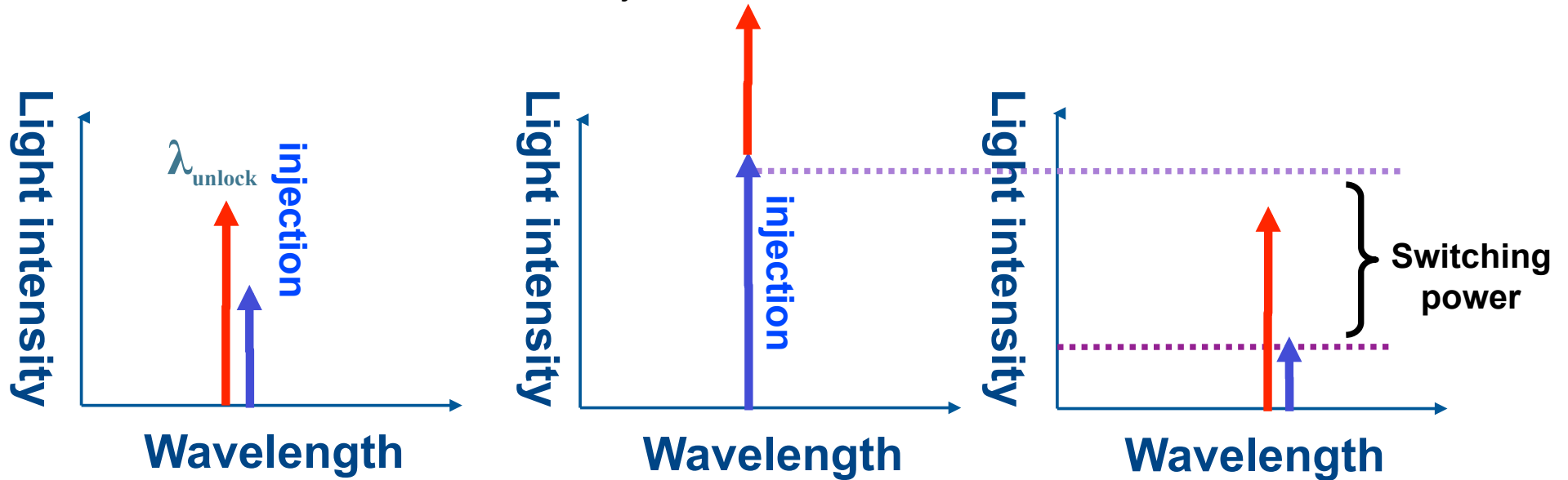
→ as injected power is increased, laser is locked at λ_{inj}

→ $\lambda_0 = \lambda_{inj}$ and injected intracavity intensity is higher

→ from locked state, injected power has to be decreased to a lower level than the initial level in order to recover the unlocked regime

Optical Bistability of injected PhC laser

What if we inject a red-shifted (λ_{inj}) external laser in free running laser state (λ_0) ?



→ carrier density changes through stimulated emission

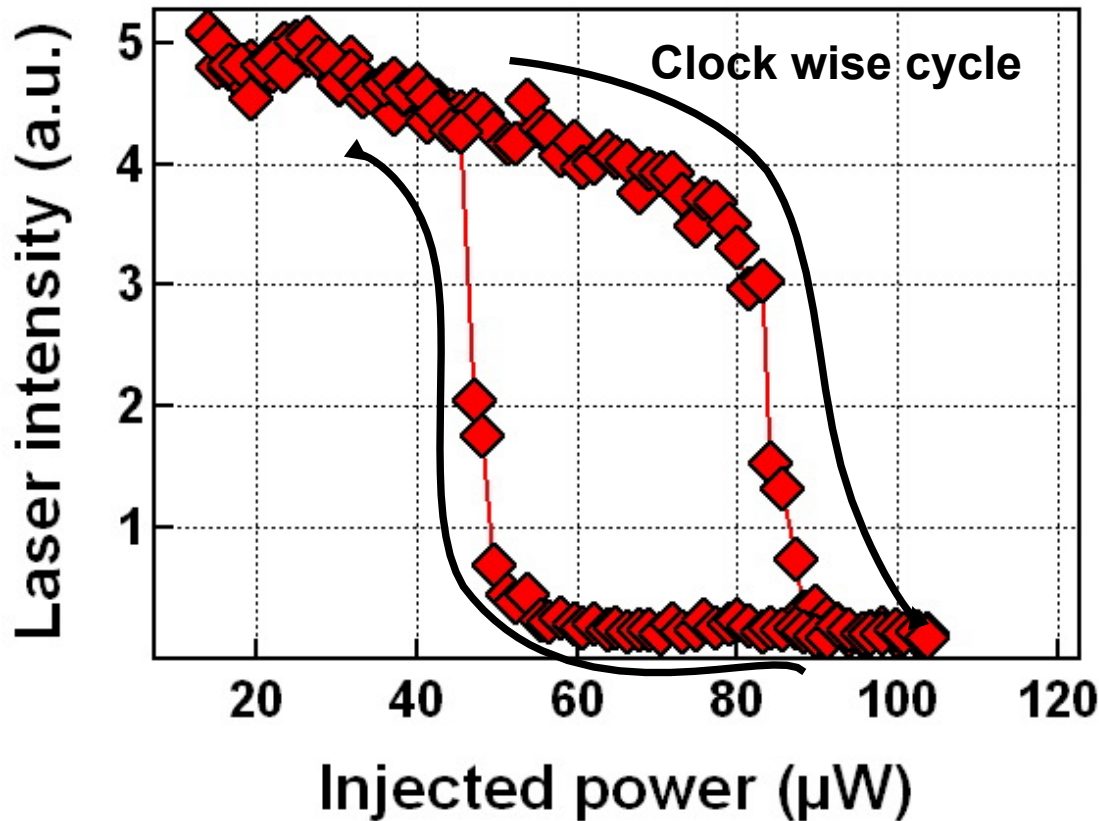
→ as injected power is increased, laser is locked at λ_{inj}

→ $\lambda_0 = \lambda_{inj}$ and injected intracavity intensity is higher

→ from locked state, injected power has to be decreased to a lower level than the initial level in order to recover the unlocked regime

→ emitted power at λ_{unlock} versus injected power will present an hysteresis cycle

Hysteresis cycle



- bistable threshold = $50\mu\text{W}$
- high contrast ($>20\text{dB}$)
- switching power $< 40\mu\text{W}$
- switching energy $< 0.4\text{ fJ}$ for 10ps pulses!

Dynamics determined by laser dynamics → measured to be faster than 50ps

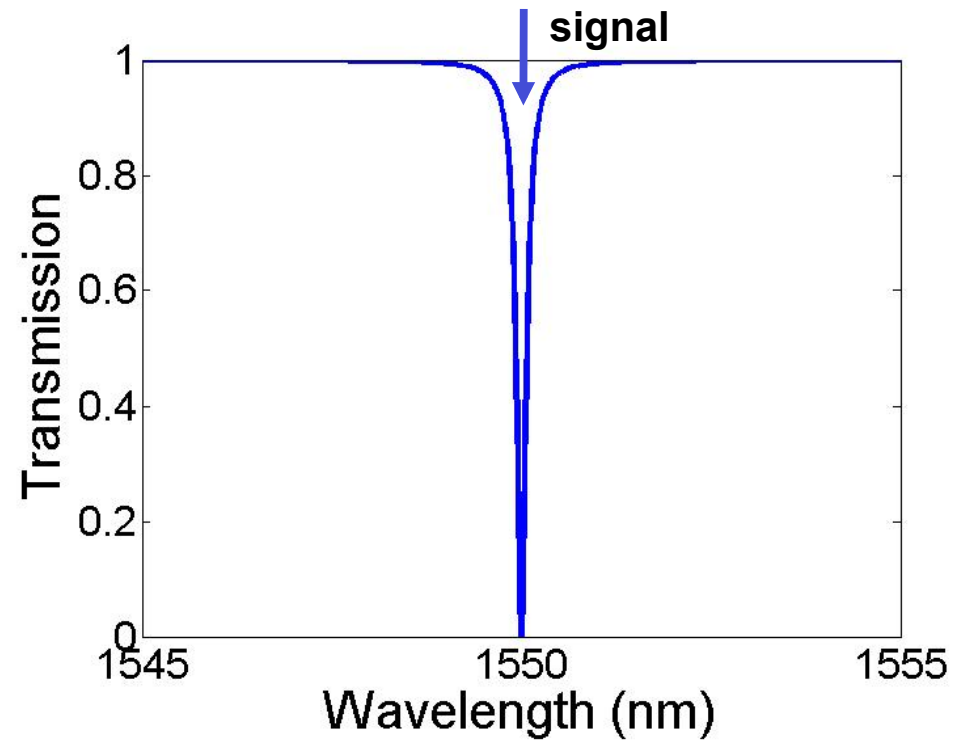
ULTRAFAST SWITCHING



Ultrafast all-optical switching

Principle

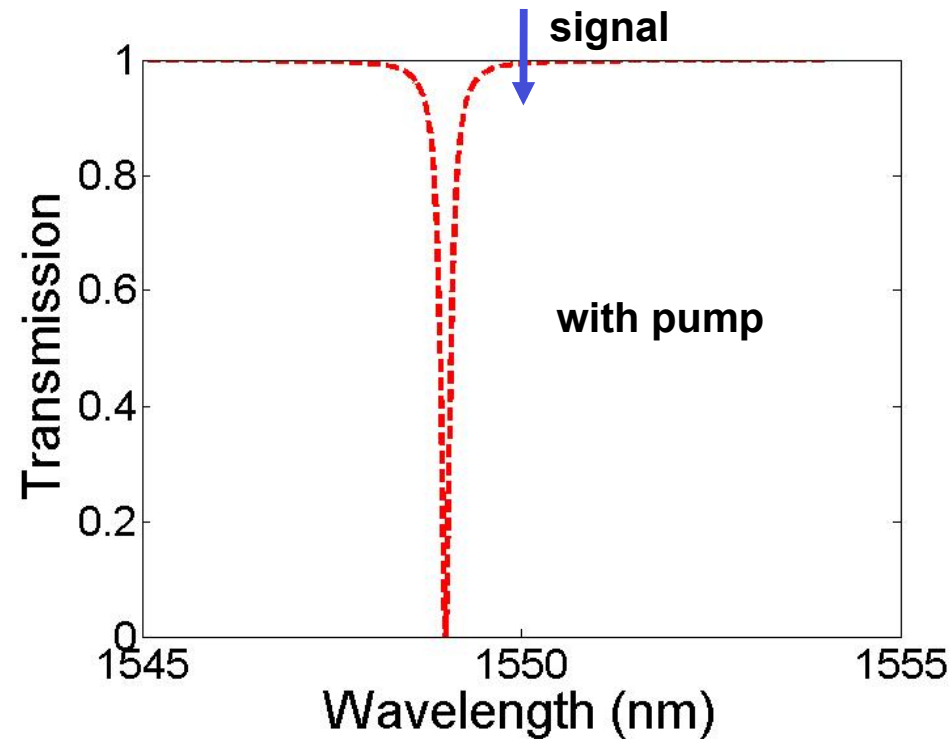
Change of refractive index through optical carrier injection



Ultrafast all-optical switching

Principle

Change of refractive index through optical carrier injection

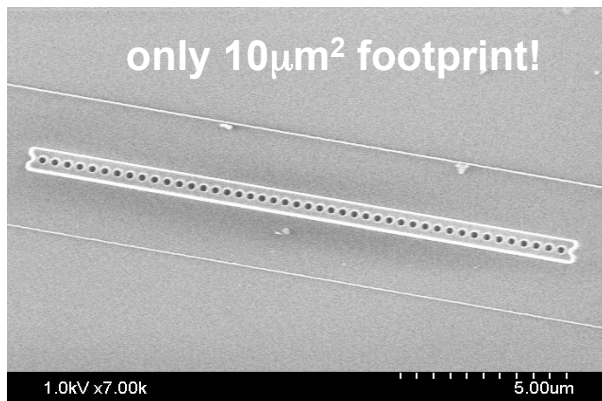
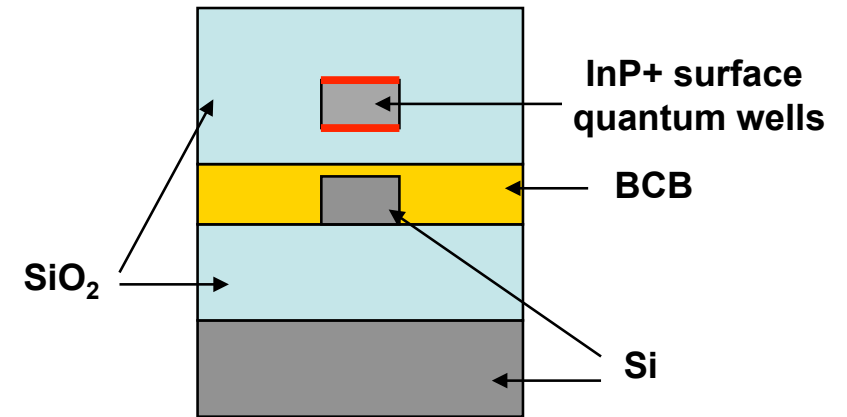
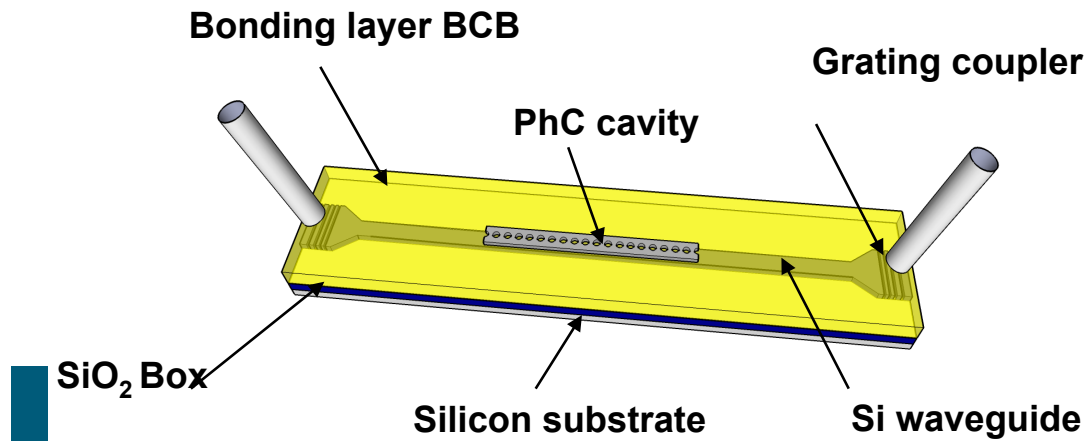


Dynamics determined by carrier lifetime

→ Reduction of carrier lifetime by enhancing surface recombination

Ultrafast switching

Reduction of carrier lifetime using surface InGaAs QW and material patterning

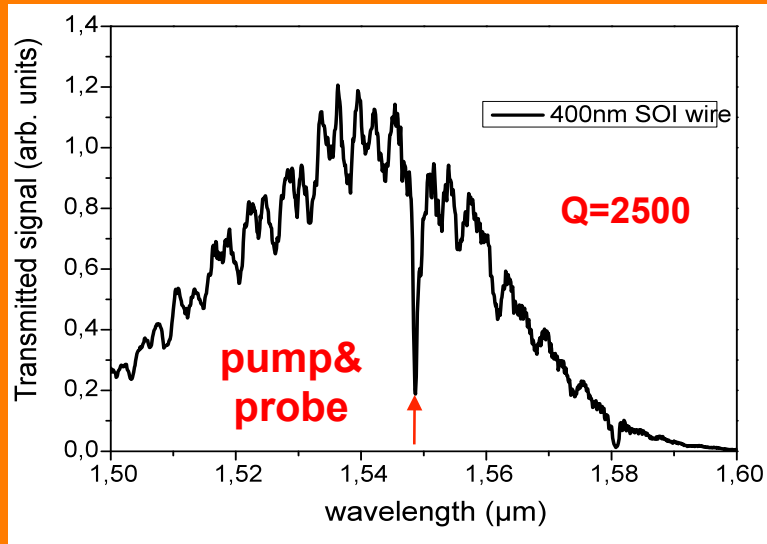


Fully embedded in SiO₂ for robustness and increased heat sinking

Ultrafast switching

Measurements

Transmission characterisation

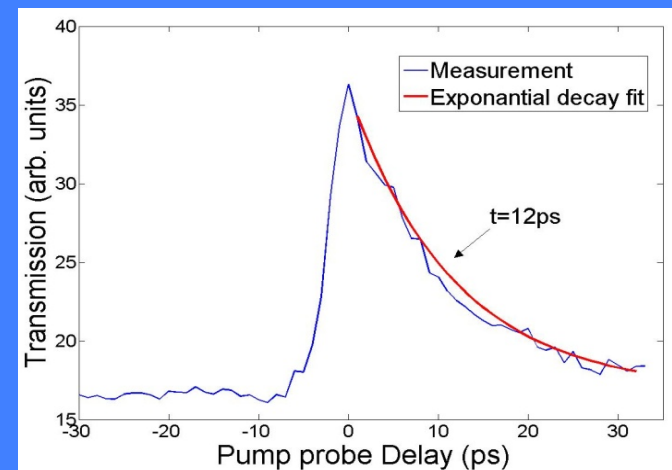
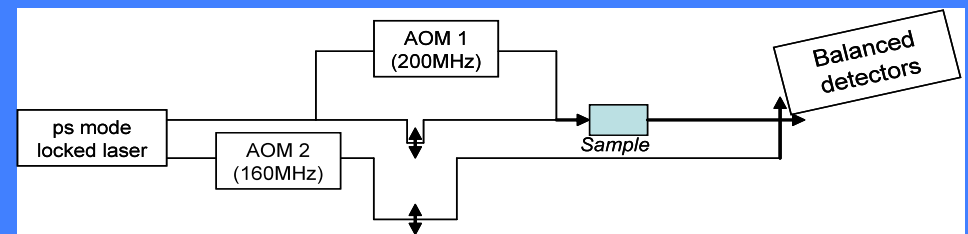


→ induced blue shift of the resonant by the pump

→ 12ps carrier lifetime!

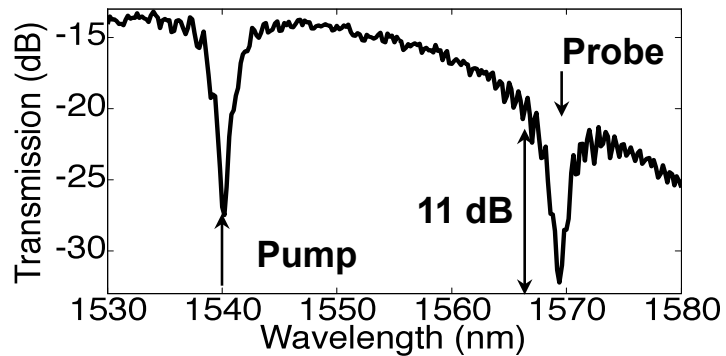
→ Switching energy of 40fJ!

Quasi degenerate pump-probe experiment with balanced heterodyne detection

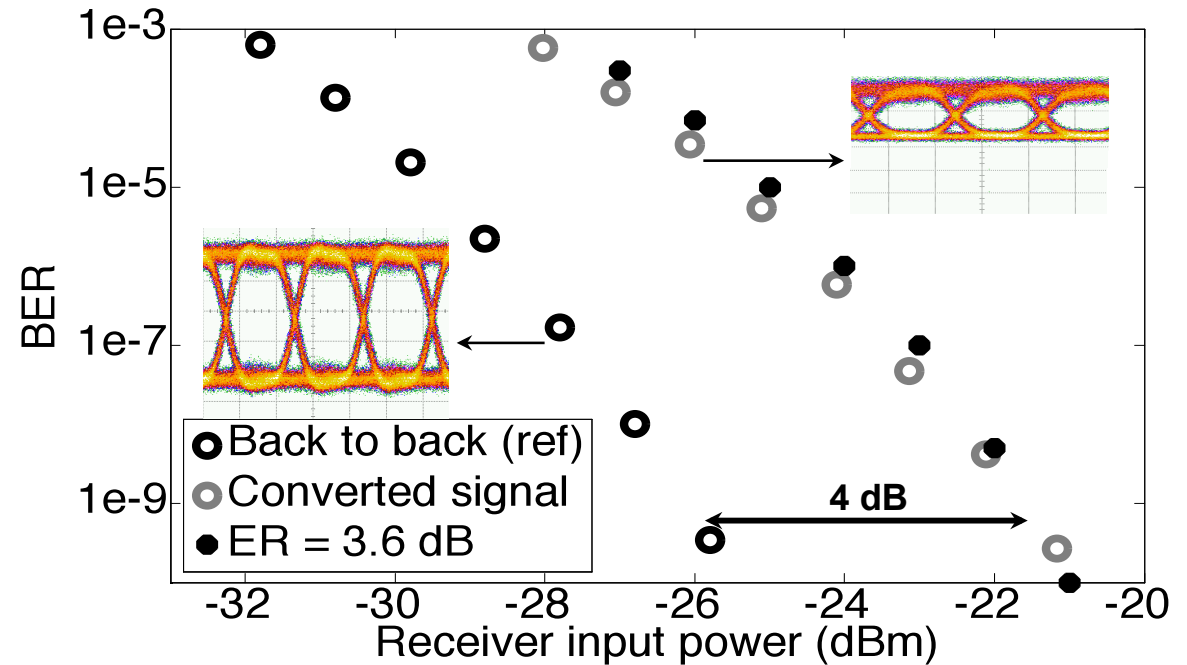


10Gbits/s Wavelength conversion

Measured @  by K. Lengle, M. Gay, L. Bramerie, T.-N Nguyen



→ 2 colours!



→ 6 mW peak power maximum

→ Error free operation of the converted signal

(4 dB penalties coming from low extinction ratio of the converted signal)

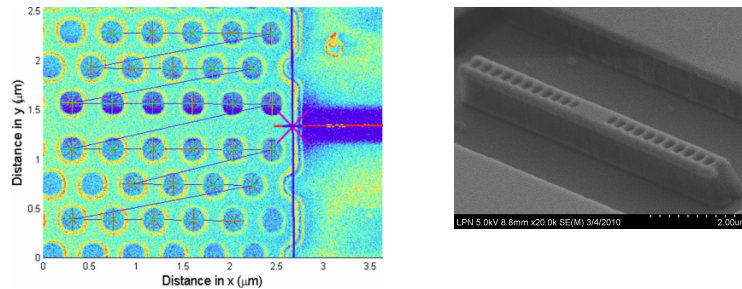
First time ever PhC based all-optical switching @ high bit rate!

Conclusion

Hybrid goes nano!

→ nanoscale structure

→ alignment better than 30 nm

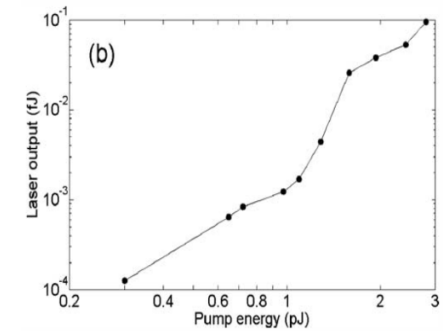
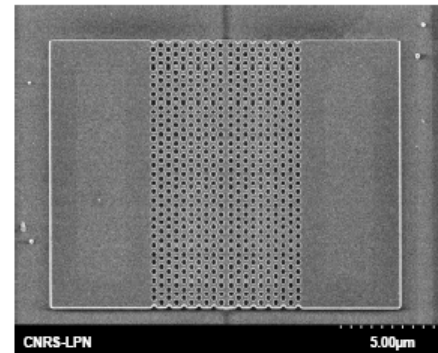


T. J. Karle et al, J. Appl. Phys. 107 063103 (2010)

Demonstration of integrated PhC laser

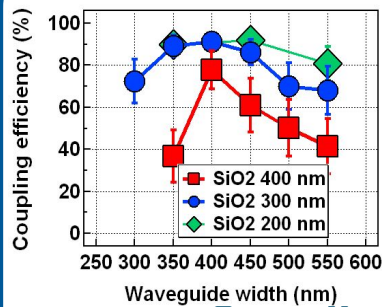
→ Slow light

→ Cavity



Y. Halioua et al, Appl. Phys. Lett. 95 201119 (2009)

Good extraction achieved !



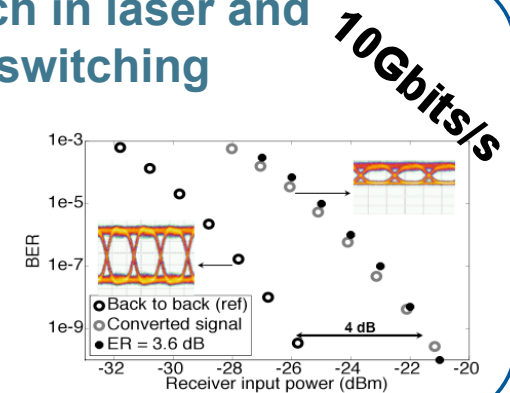
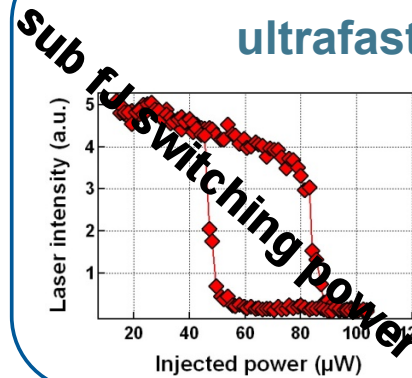
→ separation layer dependent

→ phase-matching dependent

Coupling efficiency > 90%

Y. Halioua et al, Opt. Express 19, 9221 (2011)

Bistable switch in laser and ultrafast switching



dépasser les frontières

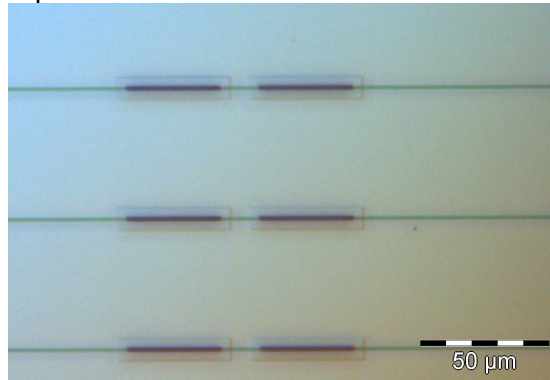


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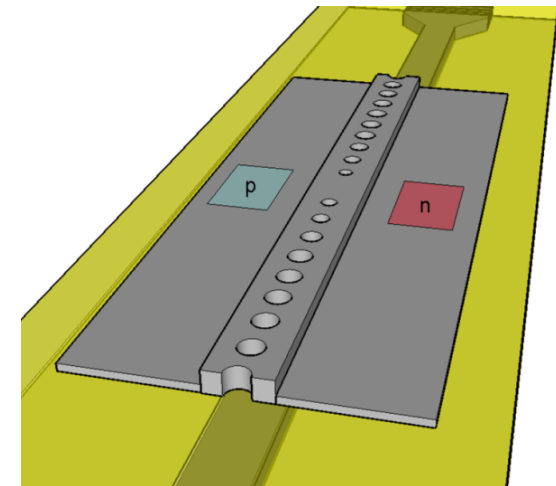
Cascaded blocks



- Multi functional
- Coupled Resonators and associated effects

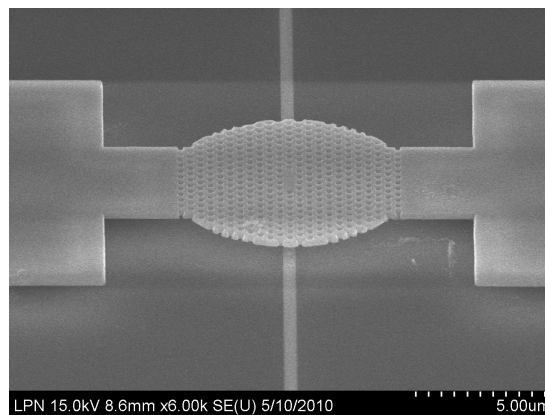


Electrical pumping:



B. Ellis & al., *Nat. Photonics*, 5, (2011)
S. Matsuo et al, *Opt. Express* 20(2012).

Optomechanics:



Acknowledgments

- French National Agency for Research Project



- FP7 European Project HISTORIC



and COPERNICUS



- Epixfab for SOI fabrication



The actors

Alexandre Bazin



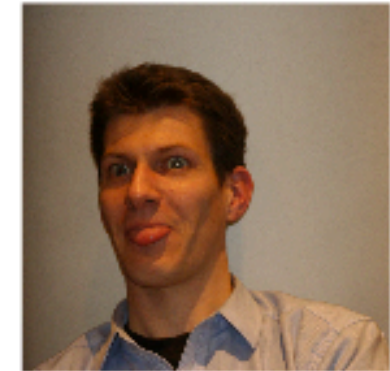
Yacine Halioua



Rémy Braive



Tim Karle



Paul Monnier



Fabrice Raineri



Rama Raj

Isabelle Sagnes

